

Diagnosis of Faults in an Electrical Conversion Chain Application on Electric Vehicles



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PLAN



Introduction & Objective



Literature Review



Methodology



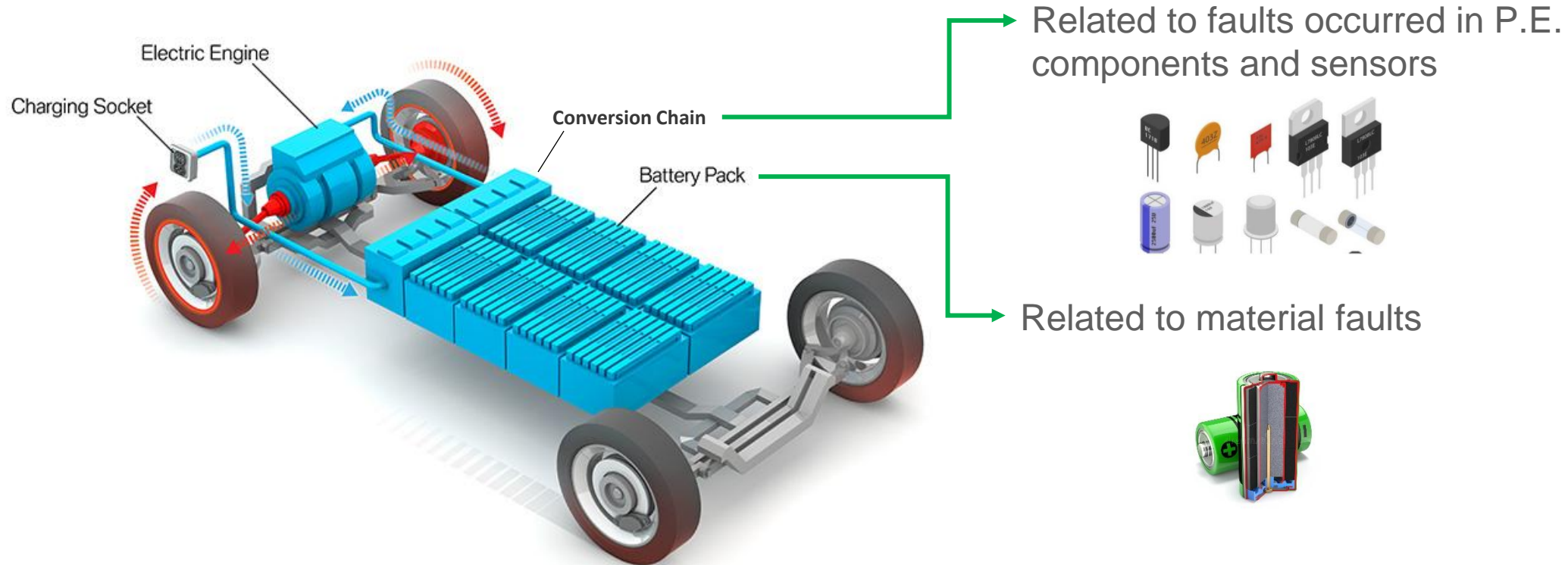
Proposed Approach & Results



Conclusions & Perspectives

Introduction

As an important part of the electric vehicle, the power battery pack and the power electronic conversion chain are the main fault sources of the electric vehicle, and they're also the focus of fault diagnosis



Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

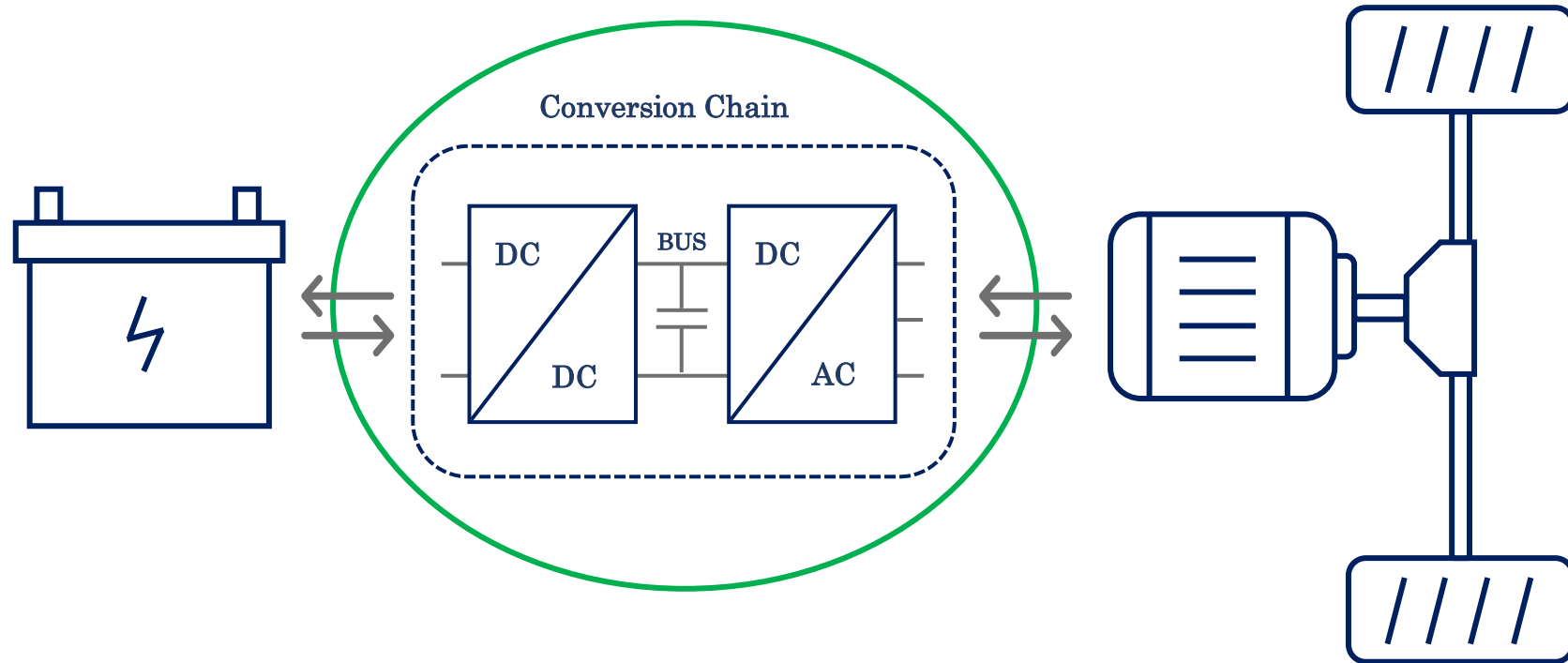
Kalman Filter Bank

Results

Conclusion & Perspective

Conversion Chain

It consists of two power electronic conversion stages



Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

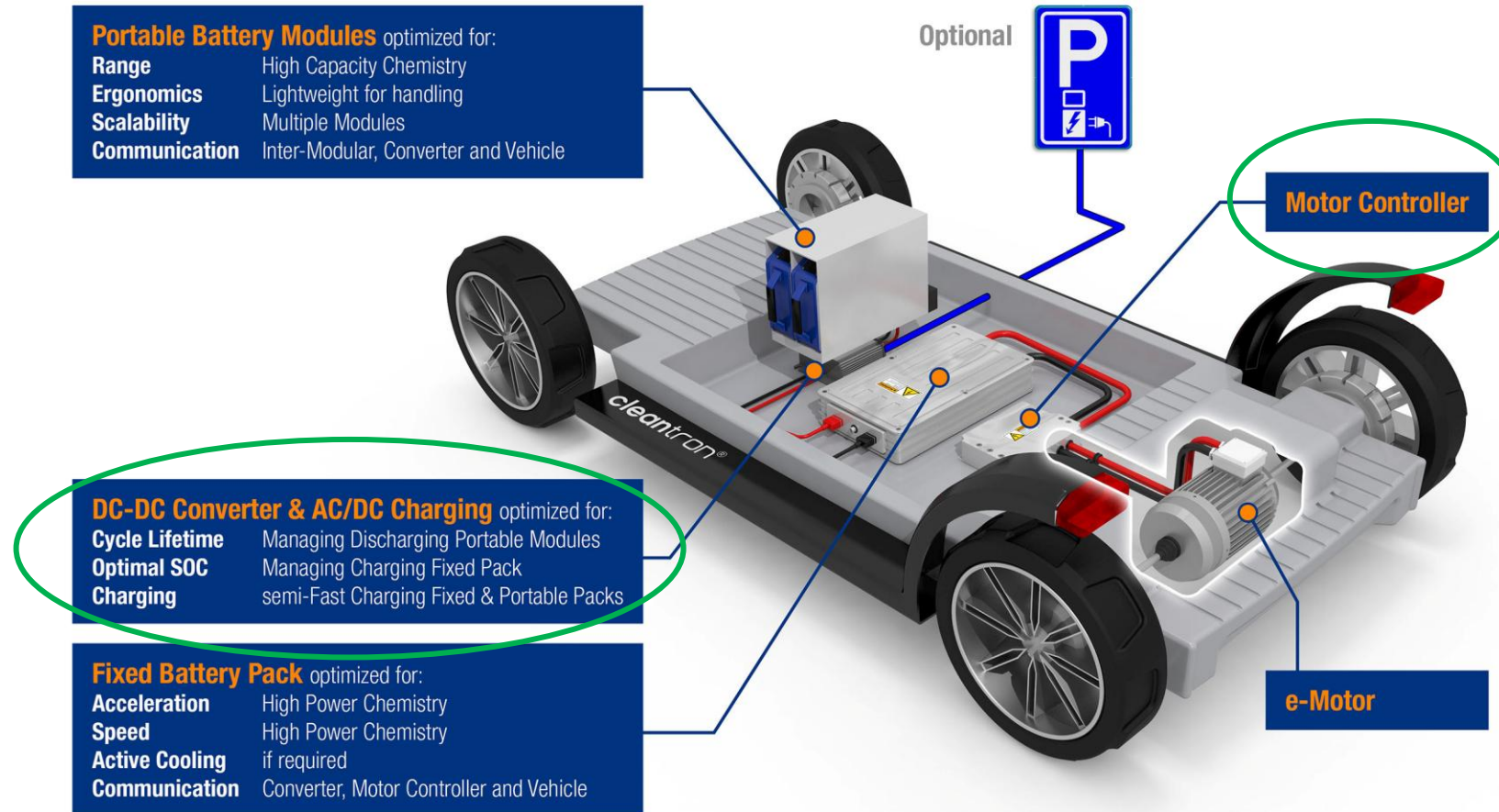
DC-DC Converter

Kalman Filter Bank

Results

Conclusion & Perspective

EV Architect



It's obvious how conversion chain failure or breakdown can cause interruptions and make the electric vehicle un-functional

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

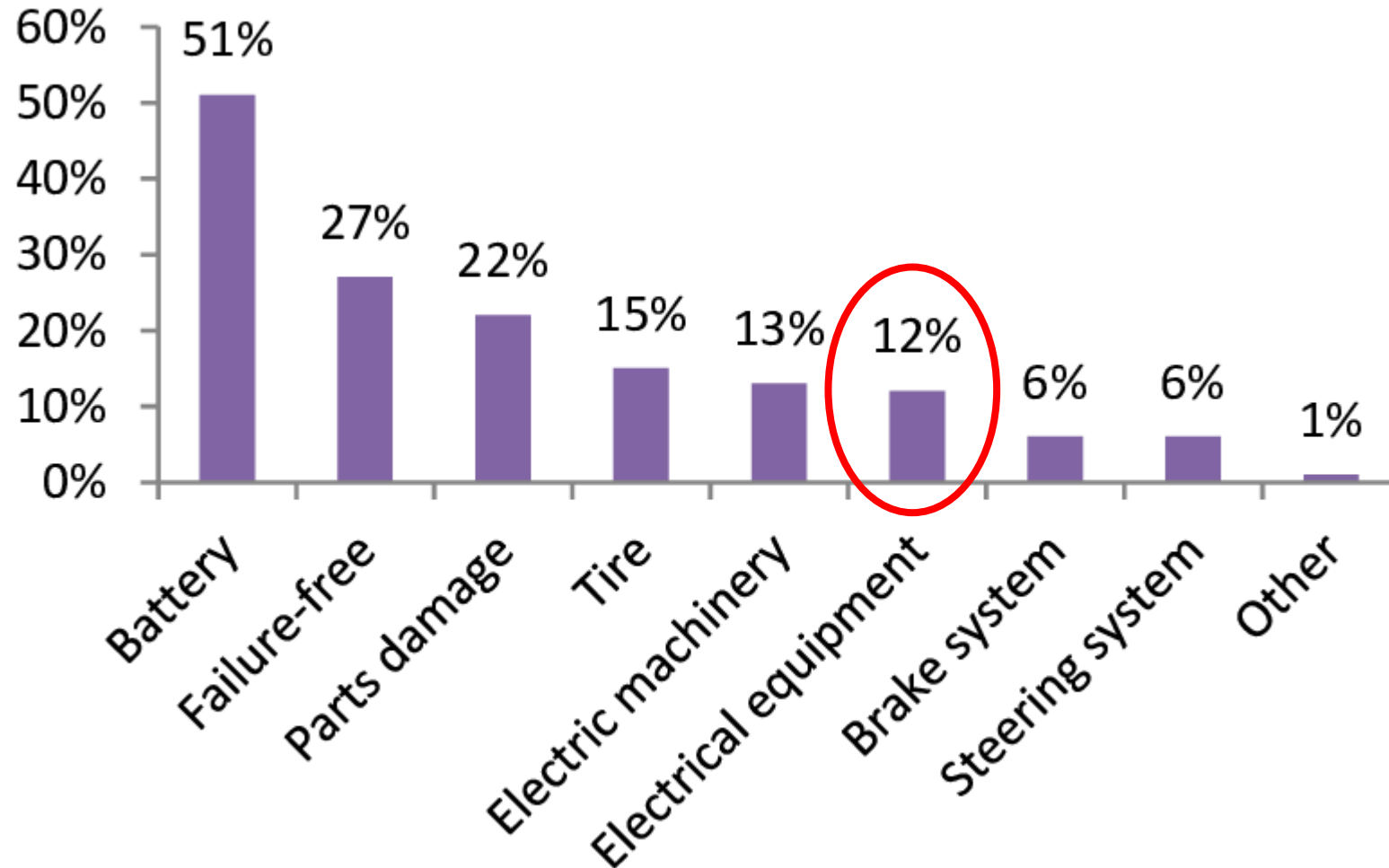
Kalman Filter Bank

Results

Conclusion & Perspective

Focusing on Electric Failures in EVs

Failure distribution



Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

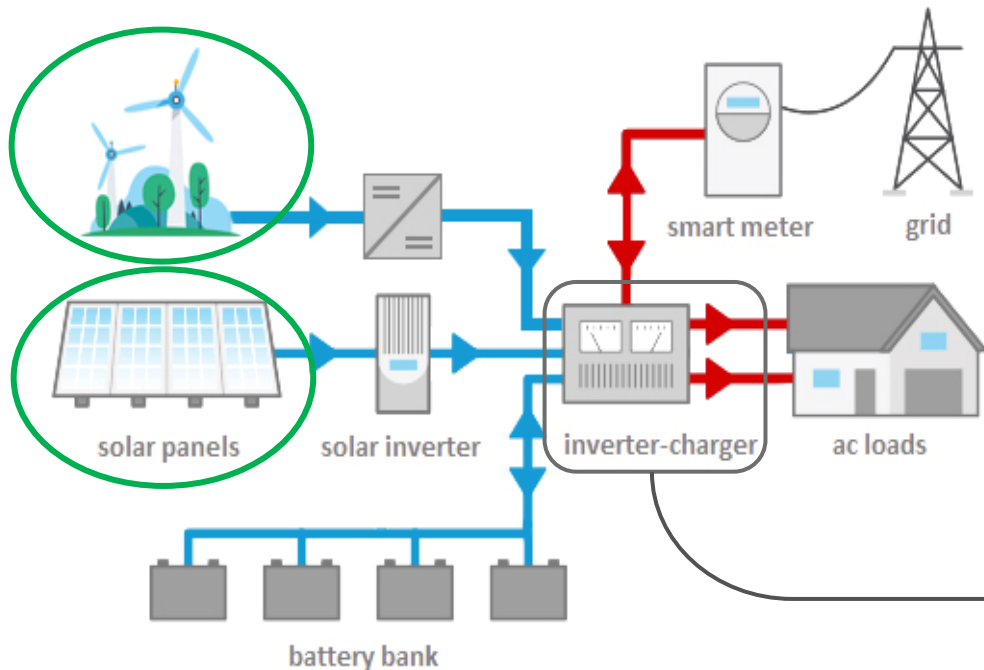
Kalman Filter Bank

Results

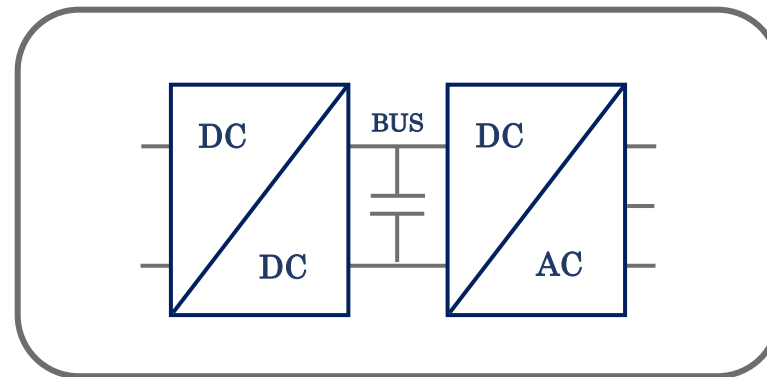
Conclusion & Perspective

Other Fields

Fields that have the conversion chain in common with EVs



⊘ Any damage or failing in the conversion chain can affect the system



Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

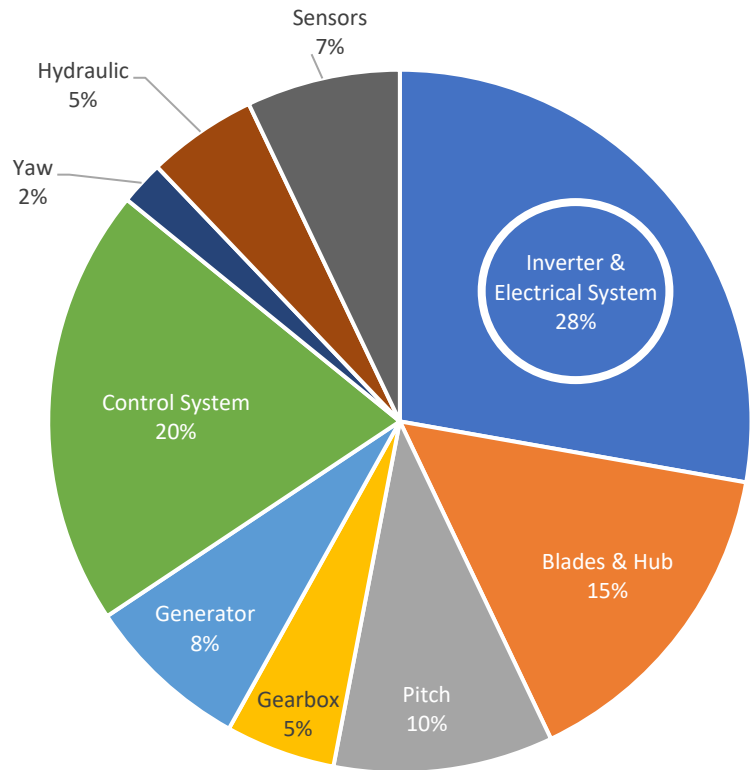
Kalman Filter Bank

Results

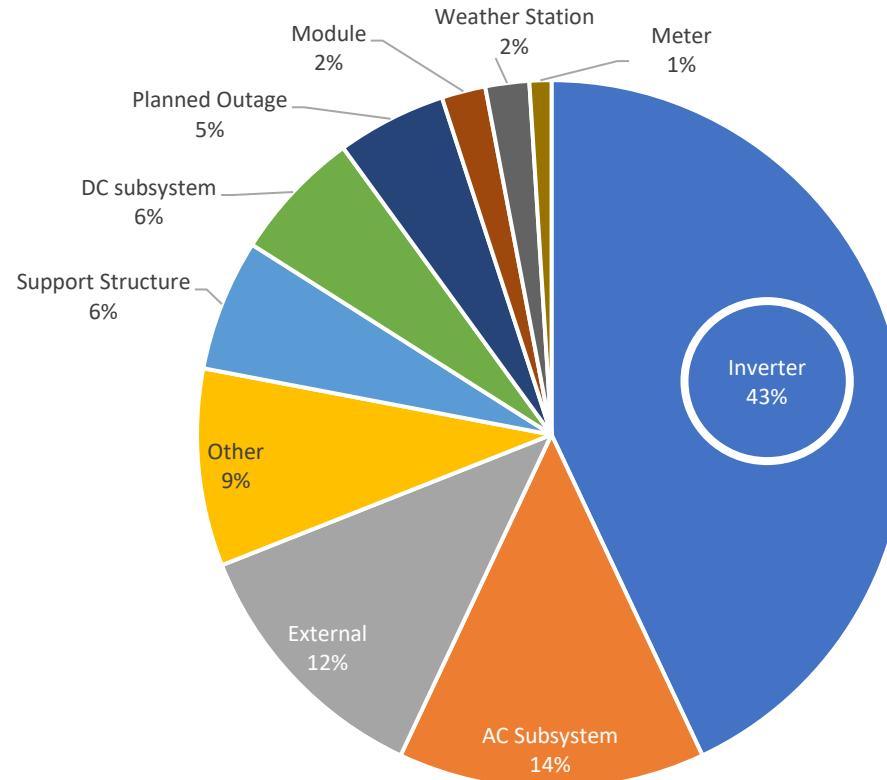
Conclusion & Perspective

Other Fields

Failure distribution for wind and solar energy systems



WIND ENERGY



SOLAR ENERGY

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results






DC-DC Converter

Kalman Filter Bank

Results

Conclusion & Perspective

Objective

-  Mathematical modelling of the healthy and faulty systems using state-space representation
-  Impact of sensors and system faults on the proper functioning of a conversion chain and the effect of fault propagation
-  Classifying and reviewing FDD approaches used in similar systems
-  Development of a robust diagnostic technique for faults in a conversion chain (Open/Short Switch, Inductor & Capacitor faults, Sensor faults)
-  Result interpretation (Simulation and Experimental)

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

Kalman Filter Bank

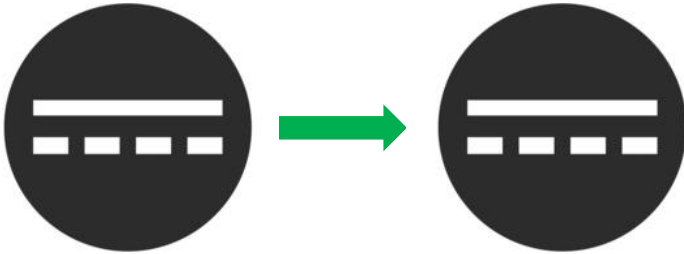
Results

Conclusion & Perspective

Literature Review

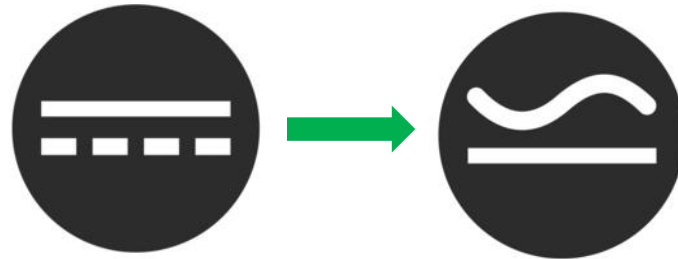
1st Review

DC-DC Converter
Topologies



2nd Review

DC-AC Inverter
Topologies



3rd Review

FDD Approaches



Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

Kalman Filter Bank

Results

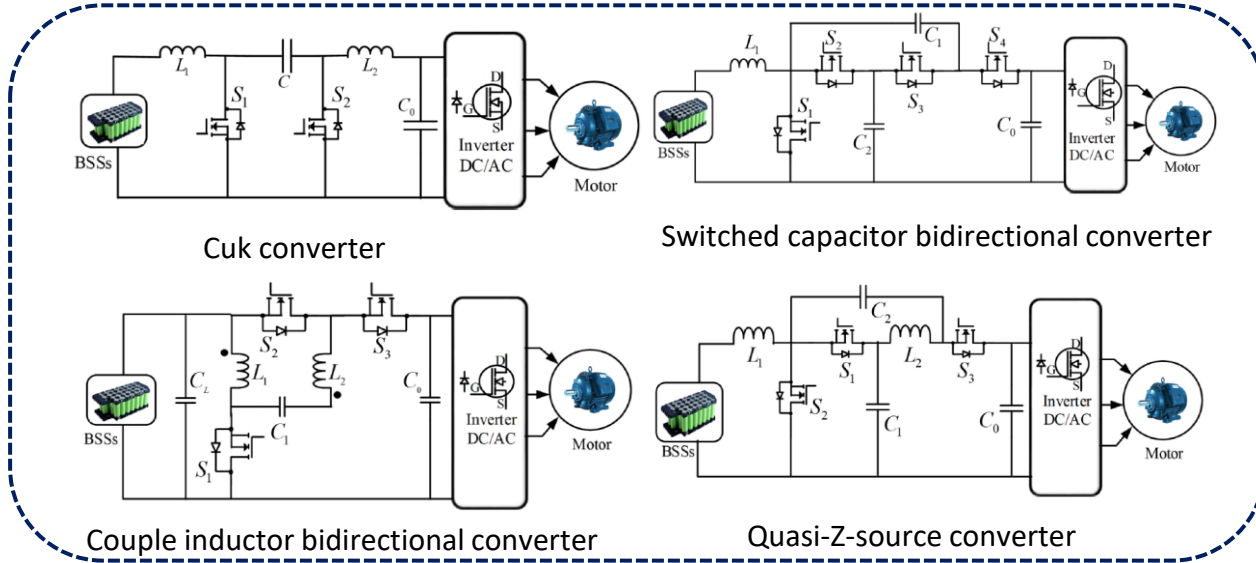
Conclusion & Perspective

HARDWARE SELECTION

DC-DC Converter Topologies

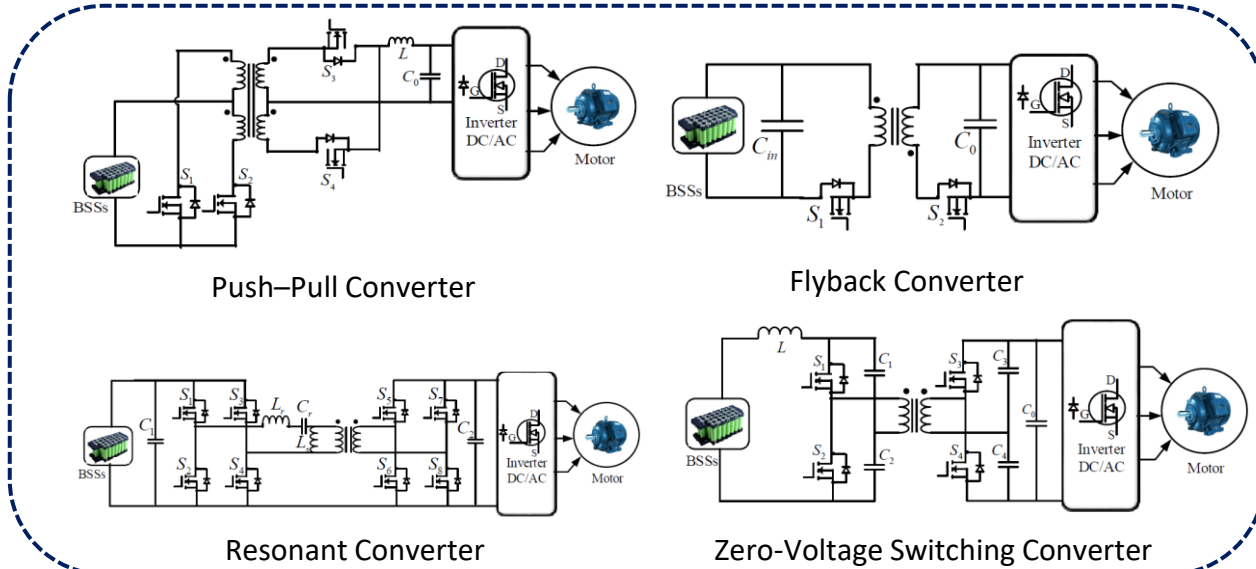
1st Type

Non-Isolated



2nd Type

Isolated



- Weight
- Efficiency
- Volume
- EMI
- Current Ripple
- Range of input voltage

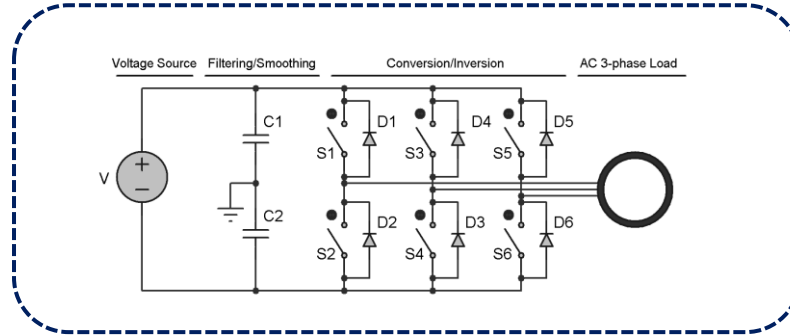
- Introduction
- Conversion Chain
- EV Failures
- Objective
- Literature Review**
- DC-DC Converters
- Inverters
- FDD Approaches
- Methodology
- Converter Model
- Inverter Model
- Filter Model
- Current Work & Results
- DC-DC Converter
- Kalman Filter Bank
- Results
- Conclusion & Perspective

HARDWARE SELECTION

Inverter Topologies

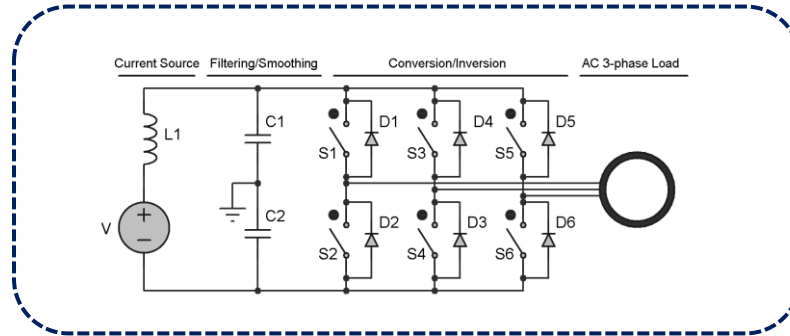
1st Type

VSI



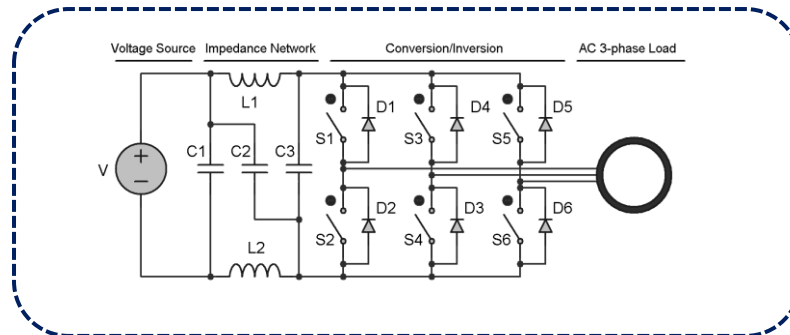
2nd Type

ISI



3rd Type

ZSI



- Popularity
- Control Simplicity
- Continuous Input Current
- Current Boosting Ability
- Limiting Inrush Current
- Overall Cost
- Size and Weight
- Limiting Current Spikes

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

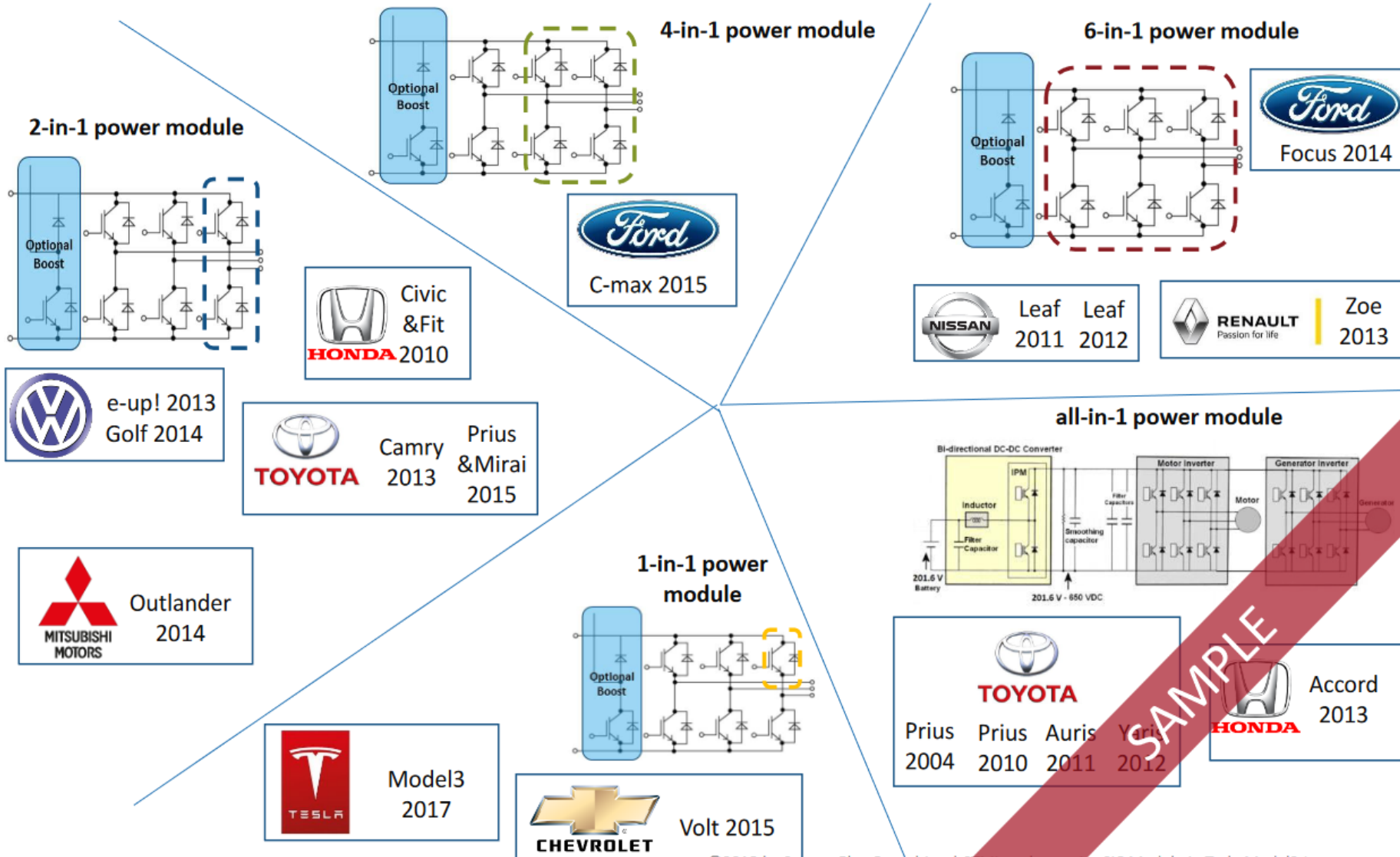
Kalman Filter Bank

Results

Conclusion & Perspective

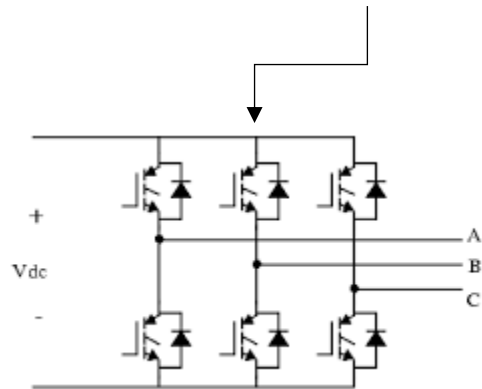
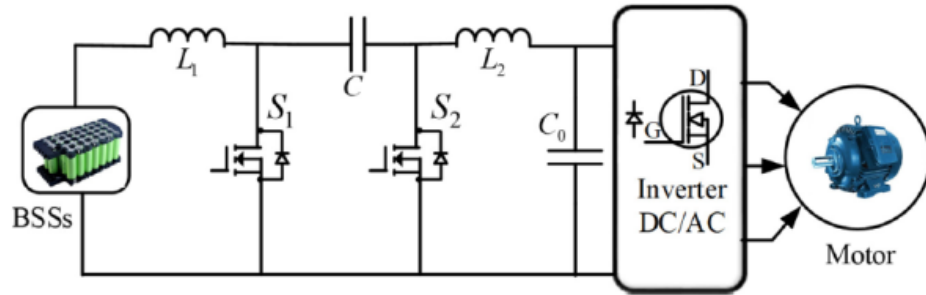
HARDWARE SELECTION

Power Semiconductor report 2018



- Introduction
- Conversion Chain
- EV Failures
- Objective
- Literature Review**
- DC-DC Converters
- Inverters**
- FDD Approaches
- Methodology
- Converter Model
- Inverter Model
- Filter Model
- Current Work & Results
- DC-DC Converter
- Kalman Filter Bank
- Results
- Conclusion & Perspective

HARDWARE SELECTION



Cuk converter + Inverter

	CUK
Weight	↓
Efficiency	94.2 %
Volume	↓
EMI	↑ ↑
Current Ripple	↓
Wide input voltage variation	40-300v

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

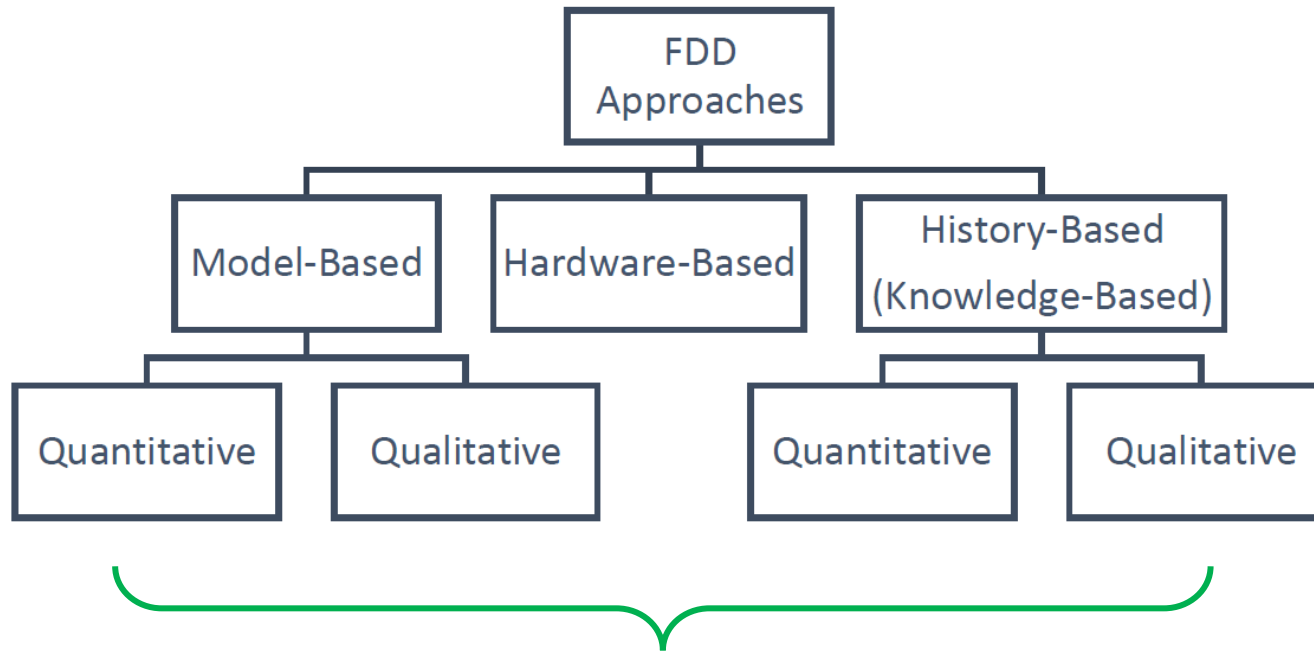
Kalman Filter Bank

Results

Conclusion & Perspective

FDD Approaches

A comprehensive review with the advantages and limitations of each of the techniques used is performed with a final comparative table for the corresponding fault type. Summarizing their characteristics based on evaluation indicators.



- Robustness



- Computational Complexity



- Detection Speed



- Multiple Fault Detection



- Non-Linear Systems



- Adaptability with changes



Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

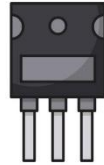
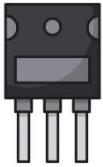
Kalman Filter Bank

Results

Conclusion & Perspective

FDD Approaches

Open Transistor Short Transistor



Capacitor Aging



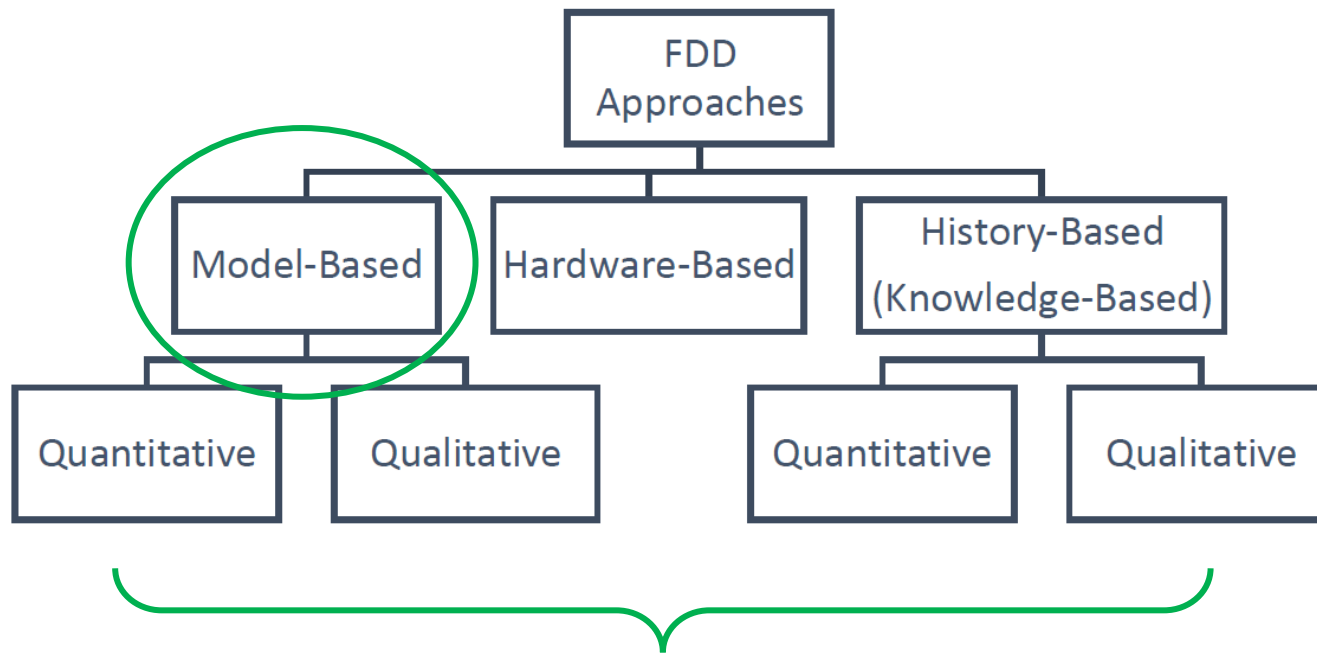
FDD Method	FDD Family	Robustness	Computational Complexity	Detection Speed	Multiple Fault Detection	Non-Linear Systems	Adaptability with changes
Voltage Space Patterns [63]	Qualitative History-based	Low	Average	Fast (2 ms)[63]	False	True	Low
S-Transform [64], [65]	Qualitative History-based	Average	High	Average (20 ms) [64]	False	True	Low
di/dt Feedback Control [66]	Qualitative History-based	Average	High	Very Fast (0.5 μs)[66]	True	True	High
Gate Signal [67], [68]	Qualitative History-based	Low	Low	Very Fast (100-150 ns)[67] (0.5-0.6 μs)[68]	True	True	High
Transient Current [69], [70]	Qualitative Model-based	Average	Average	Very Fast (0.25 μs)[70]	True	True	Average
Bond wire [71], [72]	Qualitative Model-based	High	Average	Very Fast (2-5 μs)[72]	True	True	Average

FDD Method	FDD Family	Robustness	Computational Complexity	Estimation error	Multiple Fault Detection	Non-Linear Systems	Adaptability with changes
ER [78]	Qualitative History-based	High	Low	6.25-18.75% [78]	True	True	Average
RLS [80]	Quantitative Model-based	High	Low	0% [80]	True	True	Average
Thermal Modelling [82]	Qualitative Model-based	High	Average	Used to monitor capacitors and avoiding faults	True	True	Average
Transient Current [83]	Qualitative History-based	Average	Average	Used for instant capacitor faults	True	True	Average
ANFIS [84]	Quan. & Qual. History-based	High	High	6.5% [84]	True (more than one ANFIS is required)	True if trained	High
ANN [85]–[88]	Quantitative Model-based	High	Average	0.35-0.4% [85] 1.2-1.3% [86]	True (more than one ANN is required)	True if trained	High

- Introduction
- Conversion Chain
- EV Failures
- Objective
- Literature Review
- DC-DC Converters
- Inverters
- FDD Approaches
- Methodology
- Converter Model
- Inverter Model
- Filter Model
- Current Work & Results
- DC-DC Converter
- Kalman Filter Bank
- Results
- Conclusion & Perspective

FDD Approaches

According to the results, model-based approaches show good results especially in their robustness and low complexity and estimation error with respect to other approaches



- Robustness



- Computational Complexity



- Detection Speed



- Multiple Fault Detection



- Non-Linear Systems



- Adaptability with changes



Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

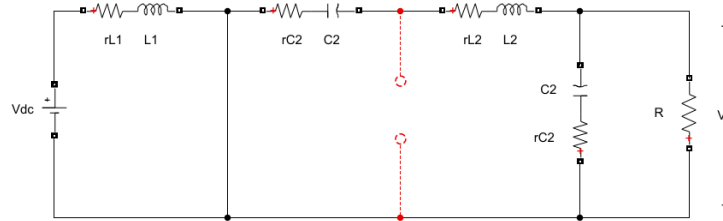
DC-DC Converter

Kalman Filter Bank

Results

Conclusion & Perspective

DC-DC Converter Modeling



Mode 1: Switch is closed, Diode is opened

Input

$$u_1 = \begin{bmatrix} V_{dc} \\ i_o \end{bmatrix}$$

Variables

$$x_1 = \begin{bmatrix} i_{L1} \\ v_{C1} \\ i_{L2} \\ v_{C2} \end{bmatrix}$$

$$\dot{x}_1 = \begin{bmatrix} \frac{\partial i_{L1}}{\partial t} \\ \frac{\partial v_{C1}}{\partial t} \\ \frac{\partial i_{L2}}{\partial t} \\ \frac{\partial v_{C2}}{\partial t} \end{bmatrix}$$

$$A_{11} = \begin{bmatrix} -\frac{rL1}{L_1} & 0 & 0 & 0 \\ 0 & 0 & -\frac{1}{C_1} & 0 \\ 0 & \frac{1}{L_2} & -\frac{(rC1 - rL2 - \frac{R \cdot rC2}{R + rC2})}{L_2} & \frac{(2rC2 - R)}{L_2} \\ 0 & 0 & \frac{1}{C_2} \left(\frac{R}{R + rC2} \right) & -\frac{1}{C_2} \left(\frac{1}{R + rC2} \right) \end{bmatrix}$$

$$B_{11} = \begin{bmatrix} \frac{1}{L_1} & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{bmatrix}$$

$$C_{11} = \begin{bmatrix} 0 & 0 & -rC2 & 1 \\ 1 & 0 & 0 & 0 \end{bmatrix}$$

$$D_{11} = \begin{bmatrix} 0 & rC2 \\ 0 & 0 \end{bmatrix}$$

Output

$$y_1 = \begin{bmatrix} V_o \\ i_{dc} \end{bmatrix}$$

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

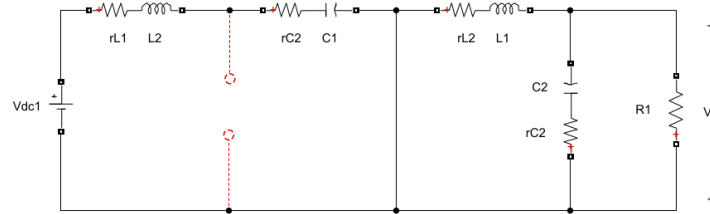
DC-DC Converter

Kalman Filter Bank

Results

Conclusion & Perspective

DC-DC Converter Modeling



Mode 2: Switch is opened, Diode is closed

Input

$$u1 = \begin{bmatrix} V_{dc} \\ i_o \end{bmatrix}$$

Variables

$$x1 = \begin{bmatrix} i_{L1} \\ v_{C1} \\ i_{L2} \\ v_{C2} \end{bmatrix} \quad \dot{x}1 = \begin{bmatrix} \frac{\partial i_{L1}}{\partial t} \\ \frac{\partial v_{C1}}{\partial t} \\ \frac{\partial i_{L2}}{\partial t} \\ \frac{\partial v_{C2}}{\partial t} \end{bmatrix}$$

Output

$$y1 = \begin{bmatrix} V_o \\ i_{dc} \end{bmatrix}$$

$$\left. \begin{aligned} A12 &= \begin{bmatrix} -\frac{(rL1 + rC1)}{L1} & -\frac{1}{L1} & 0 & 0 \\ \frac{1}{C1} & 0 & 0 & 0 \\ 0 & 0 & -\frac{(rL2 + \frac{R \cdot rC2}{R + rC2})}{L2} & -\frac{(\frac{R}{R - rC2})}{L2} \\ 0 & 0 & \frac{1}{C2} \left(\frac{R}{R + rC2} \right) & -\frac{1}{C2} \left(\frac{1}{R + rC2} \right) \end{bmatrix} \\ B12 &= \begin{bmatrix} \frac{1}{L1} & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{bmatrix} \\ C12 &= \begin{bmatrix} 0 & 0 & -rC2 & 1 \\ 1 & 0 & 0 & 0 \end{bmatrix} \\ D12 &= \begin{bmatrix} 0 & rC2 \\ 0 & 0 \end{bmatrix} \end{aligned} \right\}$$

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

Kalman Filter Bank

Results

Conclusion & Perspective

DC-DC Converter Modeling

Average Model

Input

$$u1 = \begin{bmatrix} V_{dc} \\ i_o \end{bmatrix}$$

Variables

$$x1 = \begin{bmatrix} i_{L1} \\ v_{C1} \\ i_{L2} \\ v_{C2} \end{bmatrix}$$

$$\dot{x}1 = \begin{bmatrix} \frac{\partial i_{L1}}{\partial t} \\ \frac{\partial v_{C1}}{\partial t} \\ \frac{\partial i_{L2}}{\partial t} \\ \frac{\partial v_{C2}}{\partial t} \end{bmatrix}$$

Output

$$y1 = \begin{bmatrix} V_o \\ i_{dc} \end{bmatrix}$$

$$\begin{aligned} \dot{x} &= A_{avg}x + B_{avg}u \\ y &= C_{avg}x + D_{avg}u \end{aligned}$$

$$A_{avg} = A_1d + A_2(1 - d)$$

$$B_{avg} = B_1d + B_2(1 - d)$$

$$C_{avg} = C_1d + C_2(1 - d)$$

$$D_{avg} = D_1d + D_2(1 - d)$$

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

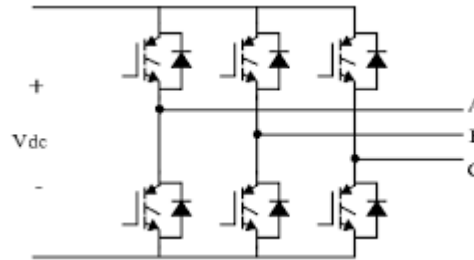
DC-DC Converter

Kalman Filter Bank

Results

Conclusion & Perspective

DC-AC Inverter Modeling



Modes of Operation at 180° conduction

Mode	S1	S2	S3	S4 ($\overline{S_1}$)	S5 ($\overline{S_2}$)	S6 ($\overline{S_3}$)	Output		
							Vab	Vbc	Vca
1	1	0	1	0	1	0	Vdc	-Vdc	0
2	1	0	0	0	0	1	Vdc	0	-Vdc
3	1	1	0	0	0	1	0	Vdc	-Vdc
4	0	1	0	1	0	1	-Vdc	Vdc	0
5	0	1	1	1	1	0	-Vdc	0	Vdc
6	0	0	1	1	1	0	0	-Vdc	Vdc

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

Kalman Filter Bank

Results

Conclusion & Perspective

DC-AC Inverter Modeling

Input

$$u_2 = [S1 \ S2 \ S3 \ S4 \ S5 \ S6]$$

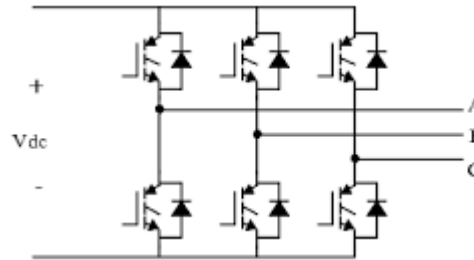
Variables

$$x_2 = [0]$$

$$\dot{x}_2 = [0]$$

Output

$$y_2 = \begin{bmatrix} V_{AB} \\ V_{BC} \\ V_{CA} \end{bmatrix}$$



$$\left. \begin{bmatrix} V_{AB} \\ V_{BC} \\ V_{CA} \end{bmatrix} \right\} = V_{dc} \cdot \underbrace{\begin{bmatrix} 1 & 1 & 0 & -1 & -1 & 0 \\ -1 & 0 & 1 & 1 & 0 & -1 \\ 0 & -1 & -1 & 0 & 1 & 1 \end{bmatrix}}_{D_2} \cdot \underbrace{\begin{bmatrix} S1 \\ S2 \\ S3 \\ S4 \\ S5 \\ S6 \end{bmatrix}}_{u_2}$$

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

Kalman Filter Bank

Results

Conclusion & Perspective

LCL filter modeling

Input

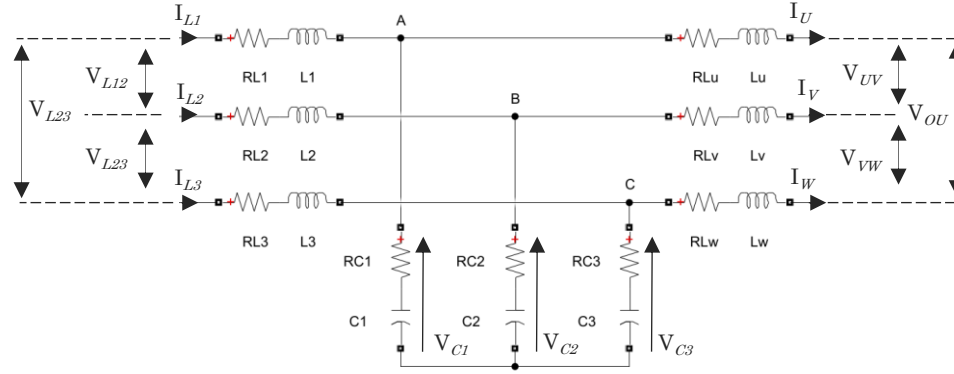
$$u_3 = \begin{bmatrix} V_{AB} \\ V_{BC} \\ V_{CA} \end{bmatrix}$$

Variables

$$x_3 = \begin{bmatrix} V_{C1} \\ V_{C2} \\ V_{C3} \\ I_{L1} \\ I_{L2} \\ I_{L3} \\ I_{Lu} \\ I_{Lv} \\ I_{Lw} \end{bmatrix} \quad \dot{x}_3 = \begin{bmatrix} \frac{\partial V_{C1}}{\partial t} \\ \frac{\partial V_{C2}}{\partial t} \\ \frac{\partial V_{C3}}{\partial t} \\ \frac{\partial I_{L1}}{\partial t} \\ \frac{\partial I_{L2}}{\partial t} \\ \frac{\partial I_{L3}}{\partial t} \\ \frac{\partial I_{Lu}}{\partial t} \\ \frac{\partial I_{Lv}}{\partial t} \\ \frac{\partial I_{Lw}}{\partial t} \end{bmatrix}$$

Output

$$y_3 = \begin{bmatrix} V_{AB} \\ V_{BC} \\ V_{CA} \\ I_{AB} \\ I_{BC} \\ I_{CA} \\ I_U \\ I_V \\ I_W \end{bmatrix}$$



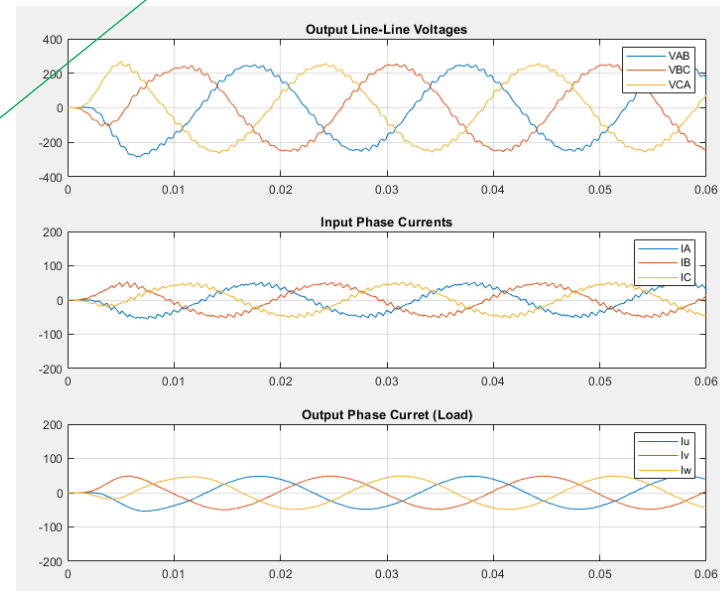
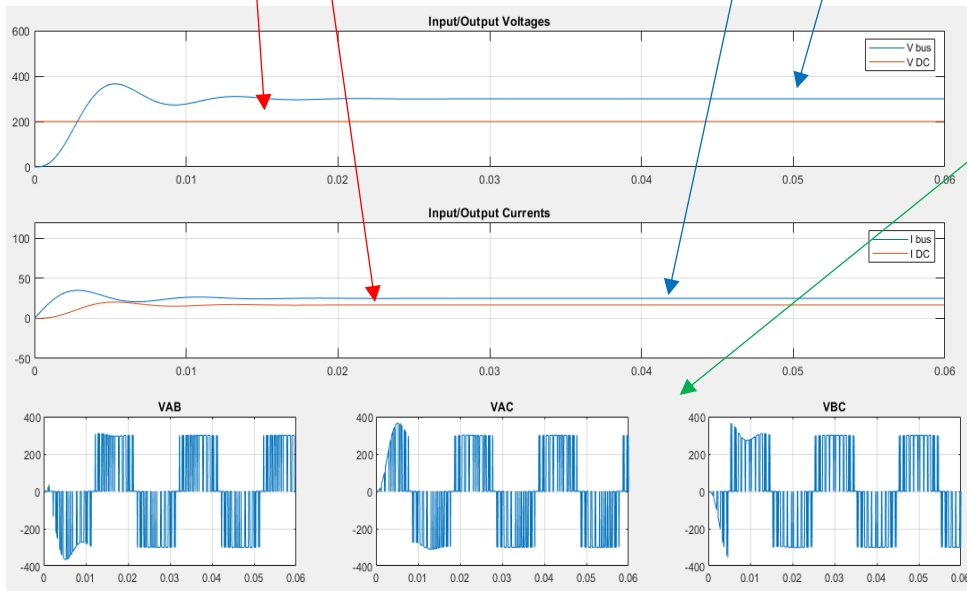
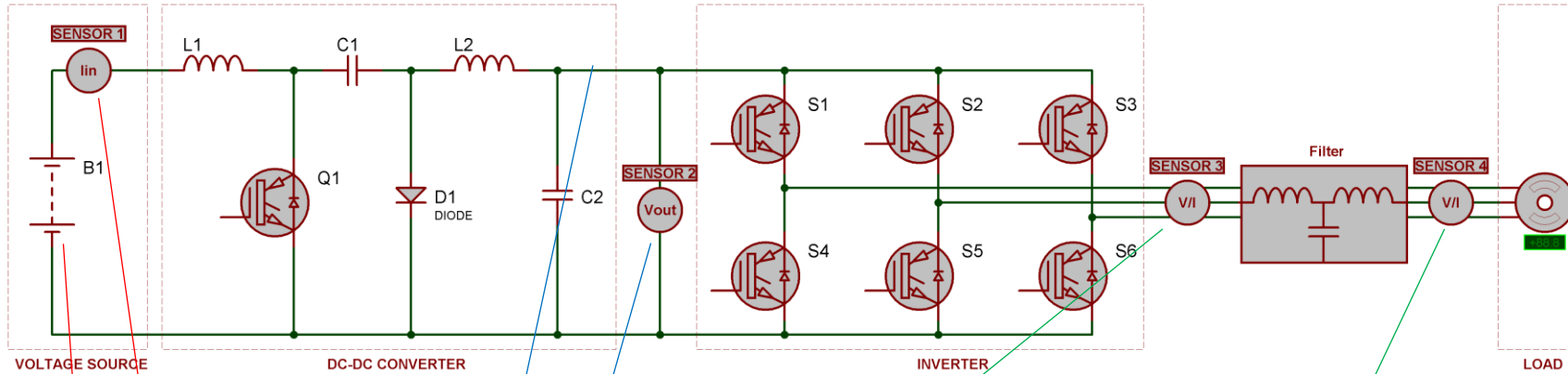
$$\begin{bmatrix} \frac{\partial V_{C1}}{\partial t} \\ \frac{\partial V_{C2}}{\partial t} \\ \frac{\partial V_{C3}}{\partial t} \\ \frac{\partial I_{L1}}{\partial t} \\ \frac{\partial I_{L2}}{\partial t} \\ \frac{\partial I_{L3}}{\partial t} \\ \frac{\partial I_{Lu}}{\partial t} \\ \frac{\partial I_{Lv}}{\partial t} \\ \frac{\partial I_{Lw}}{\partial t} \end{bmatrix}_{x_3} = \begin{bmatrix} 0 & 0 & 0 & \frac{1}{3C1} & 0 & 0 & -\frac{1}{3C1} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1}{3C1} & 0 & 0 & -\frac{1}{3C1} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{1}{3C1} & 0 & 0 & -\frac{1}{3C1} \\ -\frac{1}{L1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -\frac{1}{L1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -\frac{1}{L1} & 0 & 0 & 0 & 0 & 0 & 0 \\ \frac{1}{L2+Lo} & 0 & 0 & 0 & 0 & 0 & -\frac{r_{L2}+Ro}{L2+Lo} & 0 & 0 \\ 0 & \frac{1}{L2+Lo} & 0 & 0 & 0 & 0 & 0 & -\frac{r_{L2}+Ro}{L2+Lo} & 0 \\ 0 & 0 & \frac{1}{L2+Lo} & 0 & 0 & 0 & 0 & 0 & -\frac{r_{L2}+Ro}{L2+Lo} \end{bmatrix}_{A31} \cdot \begin{bmatrix} V_{C1} \\ V_{C2} \\ V_{C3} \\ I_{L1} \\ I_{L2} \\ I_{L3} \\ I_{Lu} \\ I_{Lv} \\ I_{Lw} \end{bmatrix}_{x_3} + \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & \frac{1}{L1} & 0 \\ 0 & 0 & \frac{1}{L1} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}_{B31} \cdot \begin{bmatrix} V_{AB} \\ V_{BC} \\ V_{CA} \end{bmatrix}_{u_3}$$

$$\begin{bmatrix} V_{uv} \\ V_{vw} \\ V_{wu} \\ I_{AB} \\ I_{BC} \\ I_{CA} \\ I_U \\ I_V \\ I_W \end{bmatrix}_{y_3} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}_{C31} \cdot \begin{bmatrix} V_{C1} \\ V_{C2} \\ V_{C3} \\ I_{L1} \\ I_{L2} \\ I_{L3} \\ I_{Lu} \\ I_{Lv} \\ I_{Lw} \end{bmatrix}_{x_3} + \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}_{D31} \cdot \begin{bmatrix} V_{AB} \\ V_{BC} \\ V_{CA} \end{bmatrix}_{u_3}$$

- Introduction
- Conversion Chain
- EV Failures
- Objective
- Literature Review
- DC-DC Converters
- Inverters
- FDD Approaches
- Methodology**
- Converter Model
- Inverter Model
- Filter Model
- Current Work & Results
- DC-DC Converter
- Kalman Filter Bank
- Results
- Conclusion & Perspective

Cascaded System Modeling

The three healthy models will be combined in a single healthy model of the chain



Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

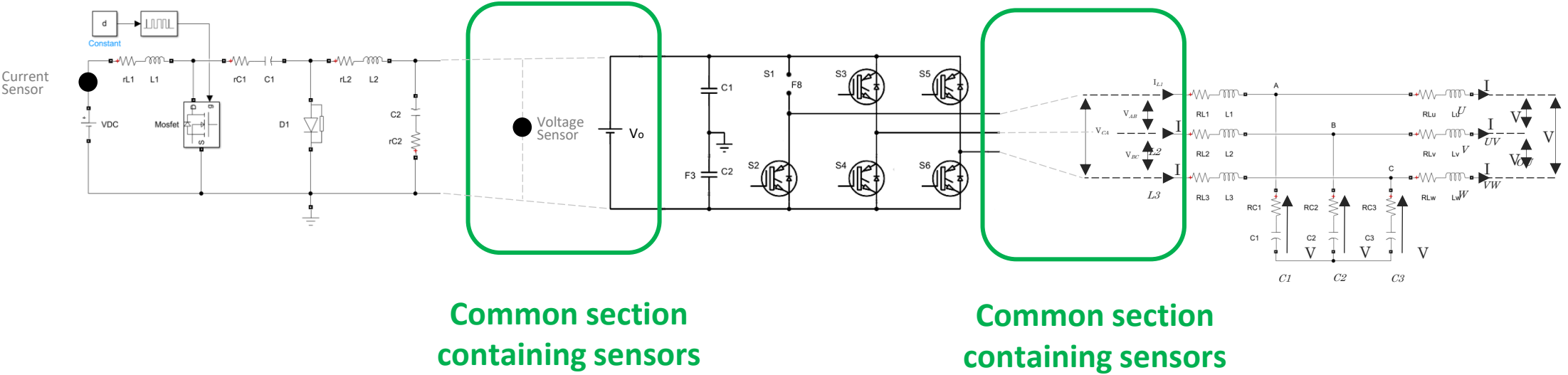
Kalman Filter Bank

Results

Conclusion & Perspective

Cascaded System

Real case scenario – Merging the Chain



Common section containing sensors

Common section containing sensors



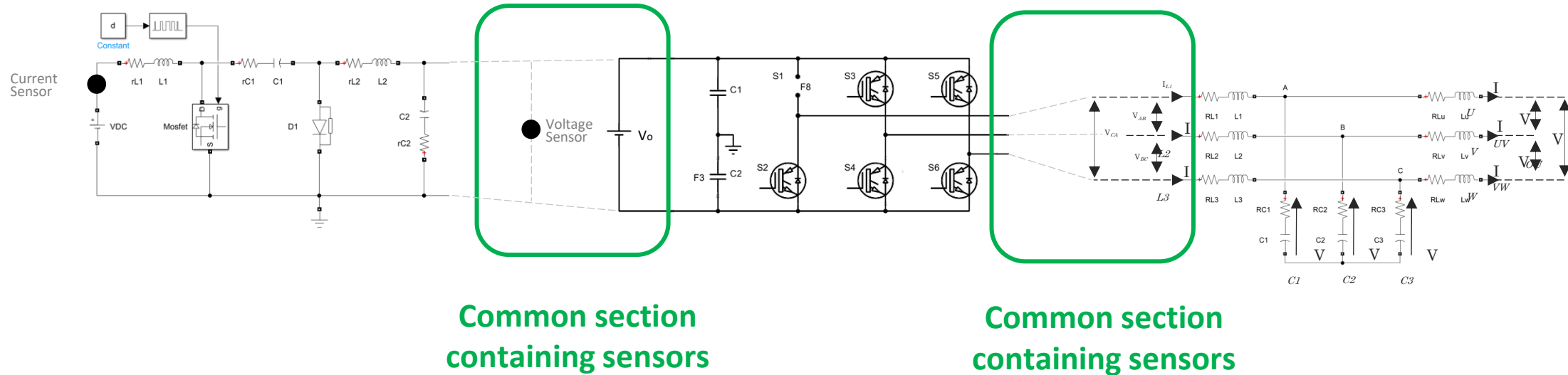
Result

Loosing the controllability and the observability (variable load across the output of DC-DC converter)

- Introduction
- Conversion Chain
- EV Failures
- Objective
- Literature Review
 - DC-DC Converters
 - Inverters
 - FDD Approaches
- Methodology**
 - Converter Model
 - Inverter Model
 - Filter Model
- Current Work & Results
 - DC-DC Converter
 - Kalman Filter Bank
 - Results
- Conclusion & Perspective

Cascaded System

Real case scenario – Merging the Chain



Solution

Making the DC-DC converter close-loop

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

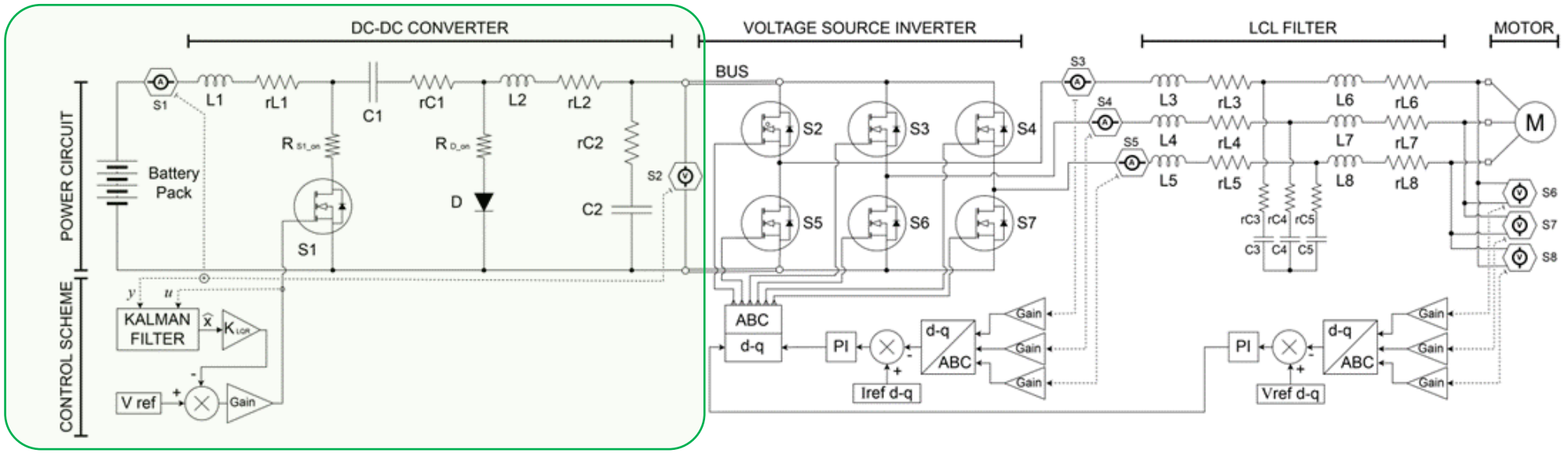
Kalman Filter Bank

Results

Conclusion & Perspective

Kalman Filter

Open/close loop comparison



Close-loop system



Sensor

→ Fault



Kalman Filter

→ Residual Generation

- Accurate
- Reliable
- Insensitive to measurement noise

Comparison

→ Open Loop



↻ Closed Loop

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

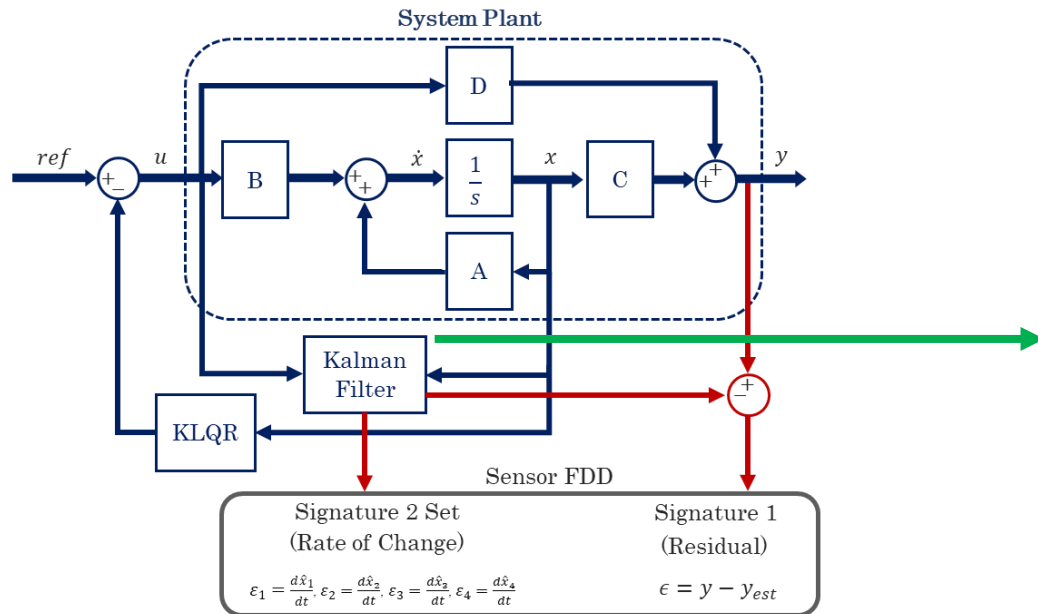
Kalman Filter Bank

Results

Conclusion & Perspective

Kalman Filter

Prediction/Update



Prediction Step:

$$\hat{x}_{k|k-1} = A\hat{x}_{k-1|k-1} + Bu_{k-1}$$

$$P_{k|k-1} = AP_{k-1|k-1}A^T + Q$$

Update Step:

$$\begin{cases} K_k = P_k^- H^T (HP_k^- H^T + Rn)^{-1} \\ \hat{x}_k = \hat{x}_k^- + K_k ((y_k + F_{bias}) - H\hat{x}_k^-) \\ P_k = (I - K_k H)P_k^- \end{cases}$$

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

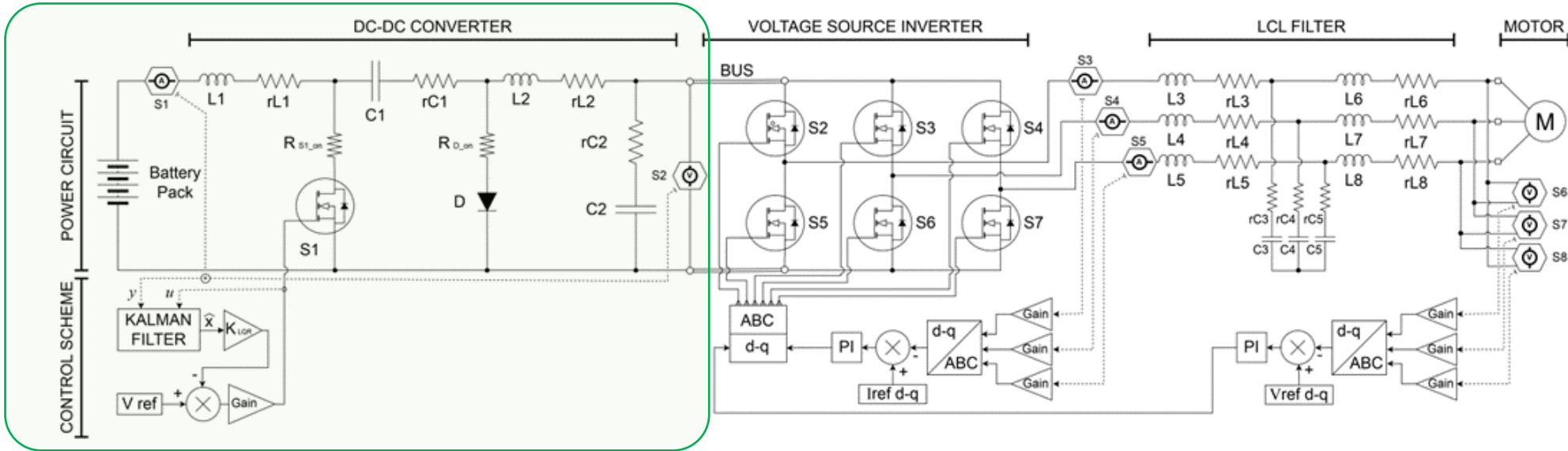
Kalman Filter Bank

Results

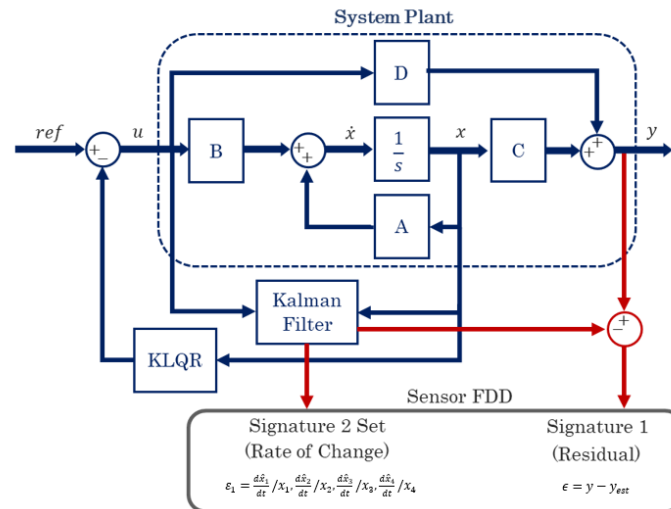
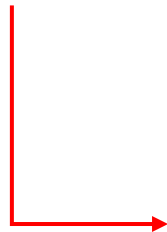
Conclusion & Perspective

Kalman Filter

Signature generation



Close-loop system



Signature 1

$$\epsilon = y - y_{est}$$

Signature 2

Relative rate of change:

$$\begin{cases} \epsilon_1 = \frac{d\hat{x}_1}{dt} / x_1 \\ \epsilon_2 = \frac{d\hat{x}_2}{dt} / x_2 \\ \epsilon_3 = \frac{d\hat{x}_3}{dt} / x_3 \\ \epsilon_4 = \frac{d\hat{x}_4}{dt} / x_4 \end{cases}$$

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

Kalman Filter Bank

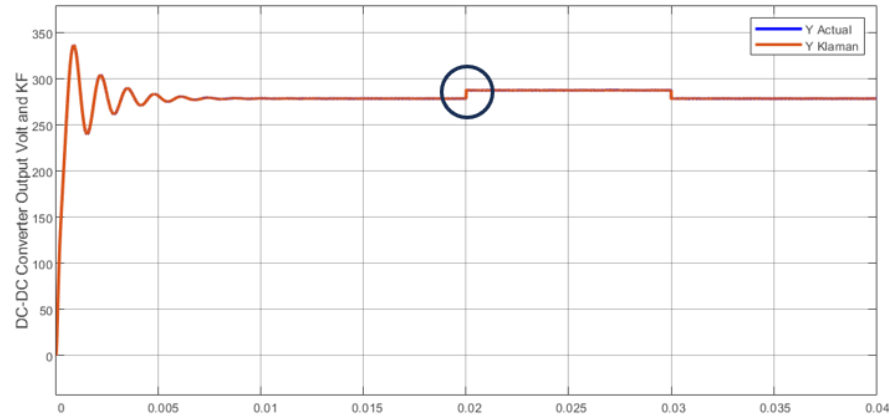
Results

Conclusion & Perspective

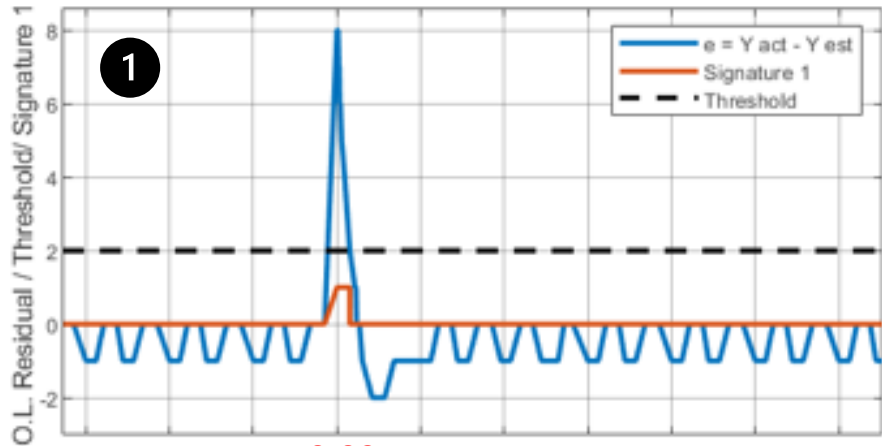
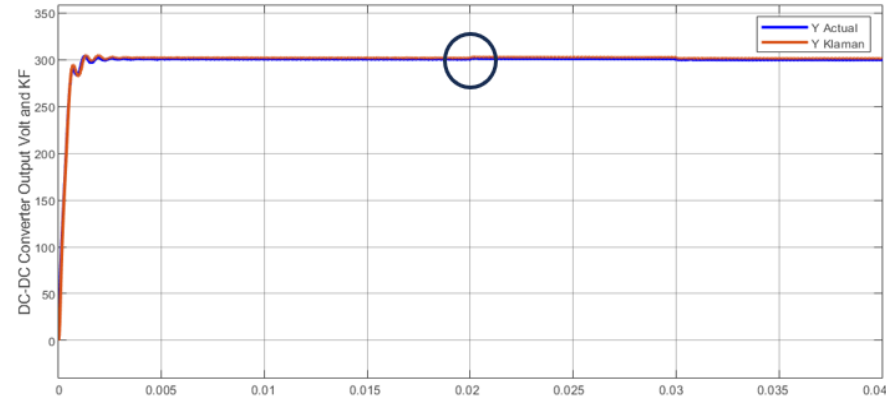
Kalman Filter

Real case scenario – Sensor Bias Fault in DC-DC Converter

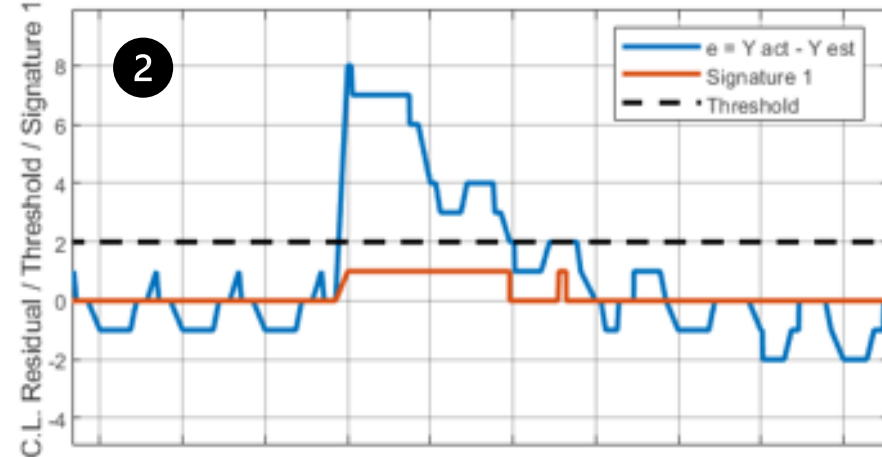
Open-loop (+3% bias fault)



Close-loop (+3% bias fault)



0.02



0.02

Fault Detection was evaluated between O.L. & C.L.

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

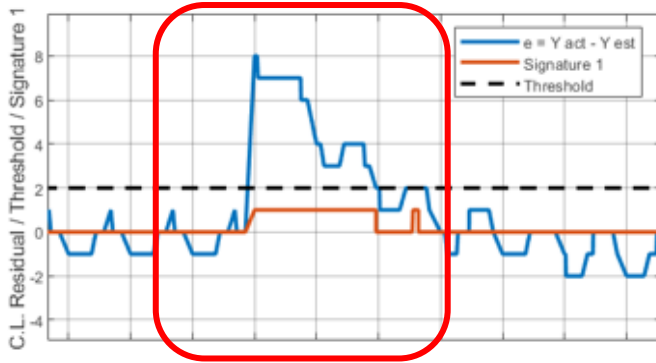
Kalman Filter Bank

Results

Conclusion & Perspective

Results

Problem



Although the in C.L. control system the duration of the fault increased

Making the FDD algorithm able to detect fault in C.L. system more efficient than O.L. system



The magnitude of sensor Bias fault is not obtained

Not able to detect sensor fault after it occurs



We need 2nd sensor and a bank of Kalman filters



Faults can be isolated and reconfigured

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

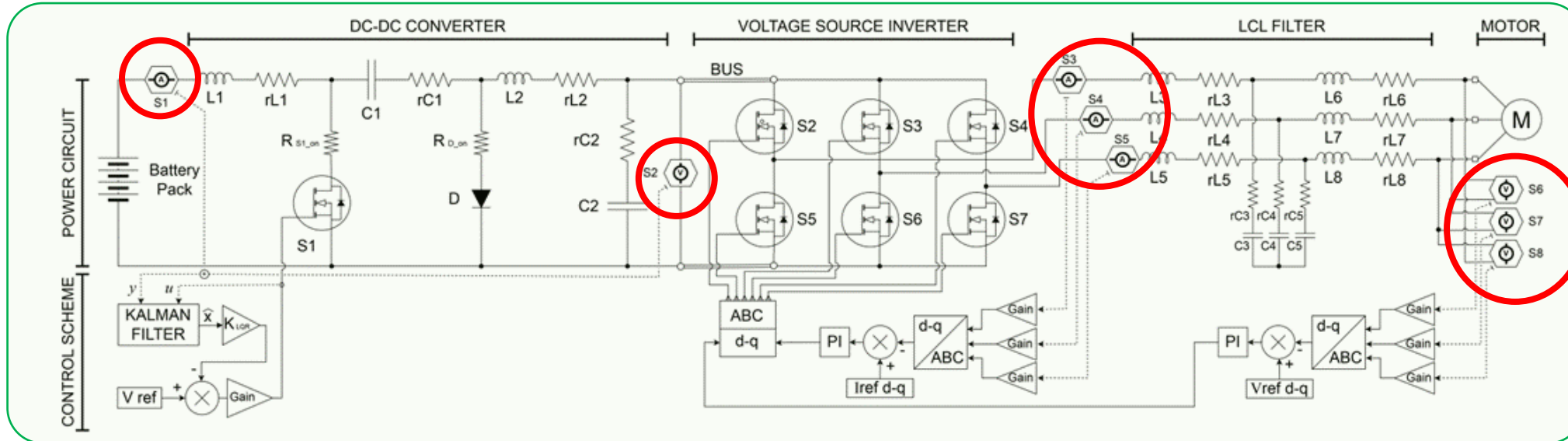
Kalman Filter Bank

Results

Conclusion & Perspective

Conversion Chain

Sensors used



2 Sensors in the DC-DC Sub-system

6 Sensors in the Inverter-LCL filter Sub-system

} 8 Total sensors

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

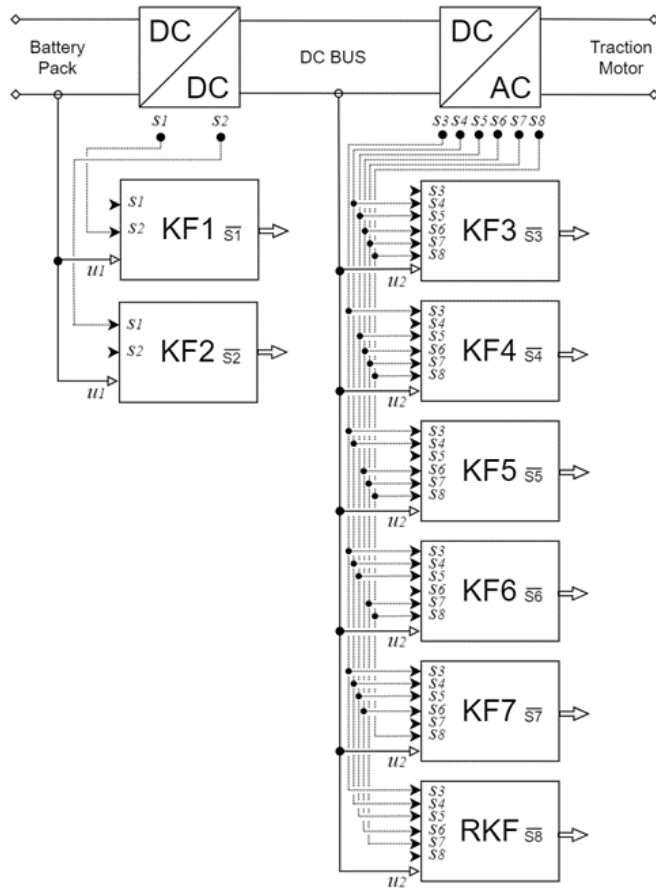
Kalman Filter Bank

Results

Conclusion & Perspective

Proposed Approach

Bank of Kalman filters – GOS Configuration



$$\text{Prediction: } \begin{cases} \hat{x}_k^- = A\hat{x}_{k-1} + Bu_k \\ P_k^- = AP_{k-1}A^T + Qf \end{cases}$$

$$\text{Correction: } \begin{cases} K_k = P_k^- H^T (HP_k^- H^T + Rn)^{-1} \\ \hat{x}_k = \hat{x}_k^- + K_k((y_k + F_{bias}) - H\hat{x}_k^-) \\ P_k = (I - K_k H)P_k^- \end{cases}$$

$$\begin{cases} \dot{\epsilon} = (A - KfC)\epsilon + wd - Kfwn \\ \epsilon = y - y_{est} = y - (C\hat{x} + Du) \Rightarrow \text{Signature 1} \\ (= y - (C(\hat{x}_k^- + K_k((y_k + F_{bias}) - H\hat{x}_k^-) + Du)) \end{cases}$$

$$\text{Residual: } \begin{cases} \epsilon_1 = y_{11} - GKF_{S1} = V_{S1} - GKF_{S1} \\ \epsilon_2 = y_{12} - GKF_{S2} = I_{S2} - GKF_{S2} \\ \epsilon_3 = y_{21} - GKF_{S3} = I_{S3} - GKF_{S3} \\ \epsilon_4 = y_{22} - GKF_{S4} = I_{S4} - GKF_{S4} \\ \epsilon_5 = y_{23} - GKF_{S5} = I_{S5} - GKF_{S5} \\ \epsilon_6 = y_{24} - GKF_{S6} = V_{S6} - GKF_{S6} \\ \epsilon_7 = y_{25} - GKF_{S7} = V_{S7} - GKF_{S7} \\ \epsilon_8 = y_{26} - GKF_{S8} = V_{S8} - GKF_{S8} \end{cases}$$



Fault can be isolated and reconfigured

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

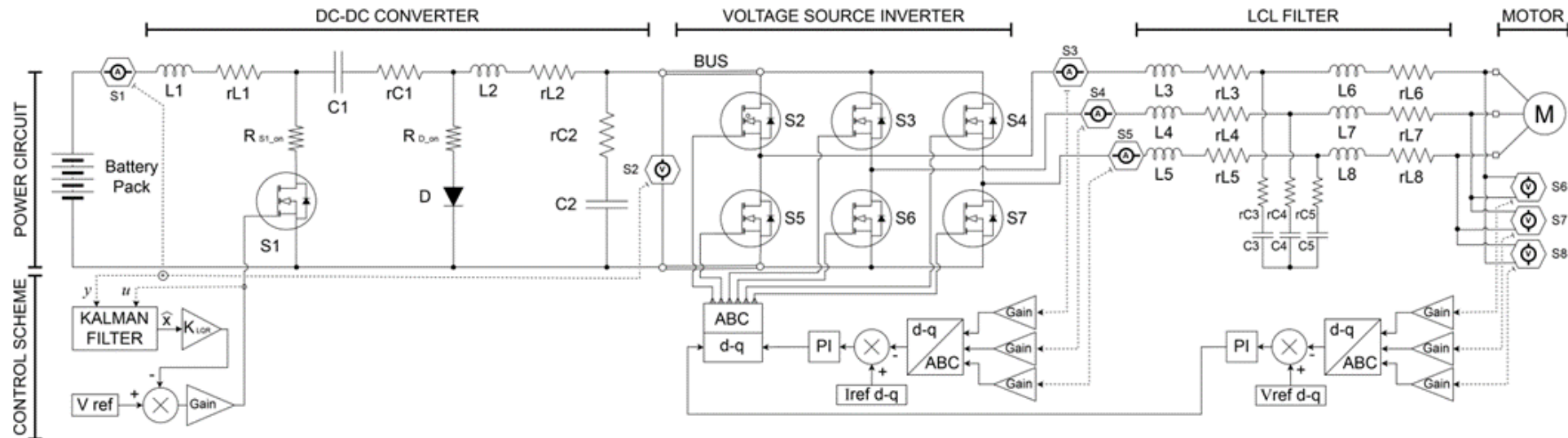
Kalman Filter Bank

Results

Conclusion & Perspective

Proposed Approach

Open and Close Loop for Conversion Chain Comparison



Open-loop Close-loop

8 Single Sensor Fault

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

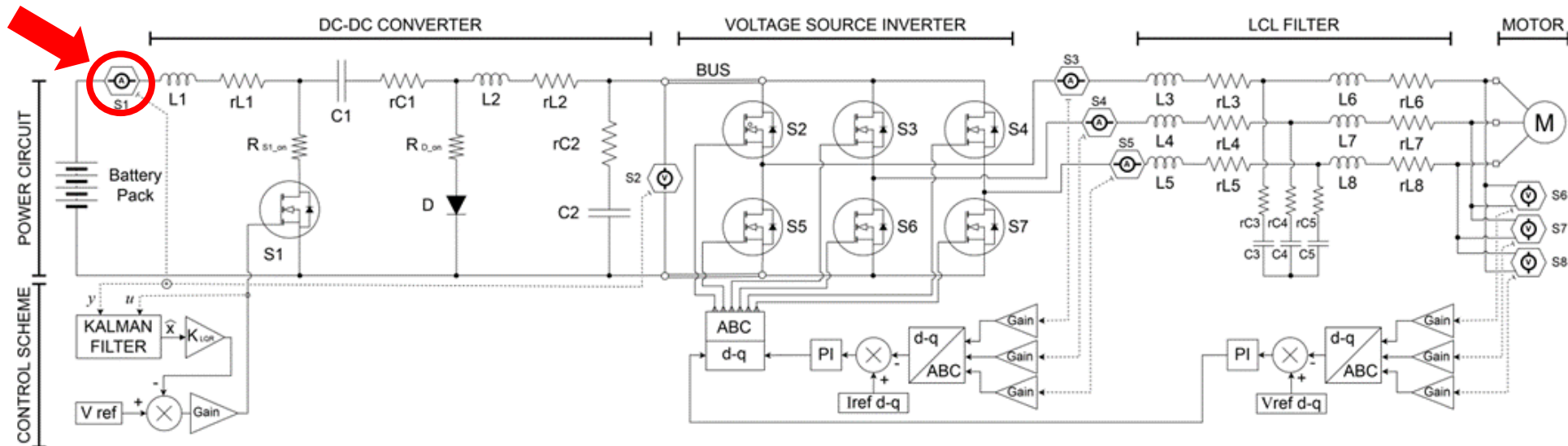
Kalman Filter Bank

Results

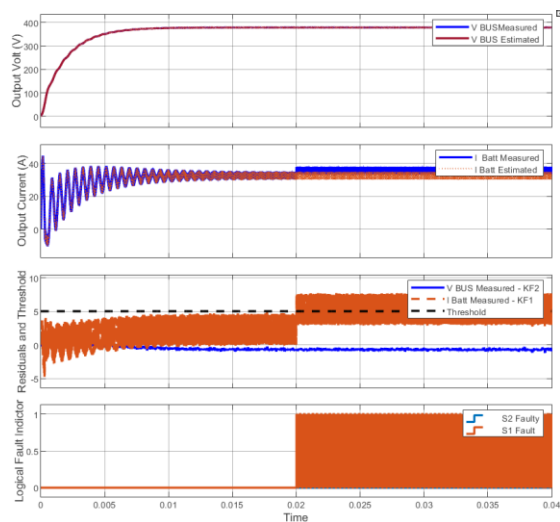
Conclusion & Perspective

Results

Using bank of Kalman filters – Example 1 – Current Sensor Fault

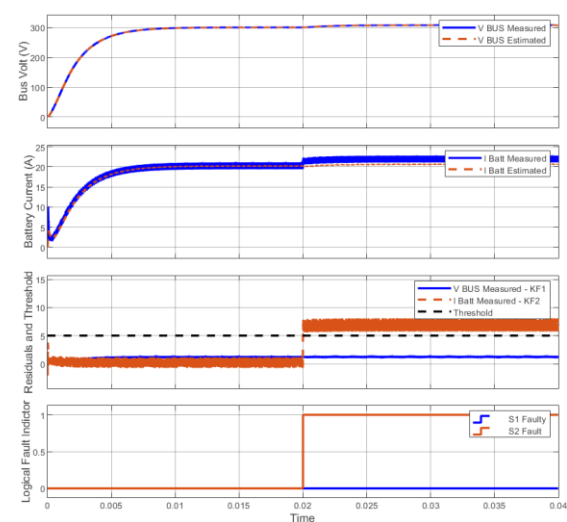


Open-loop



1

Close-loop



2

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

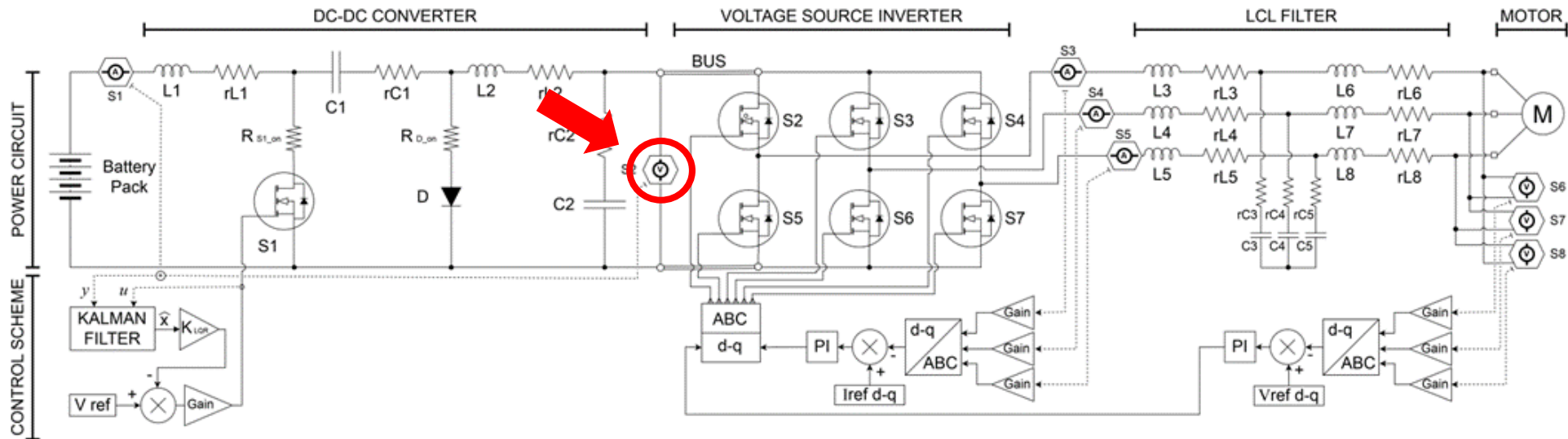
Kalman Filter Bank

Results

Conclusion & Perspective

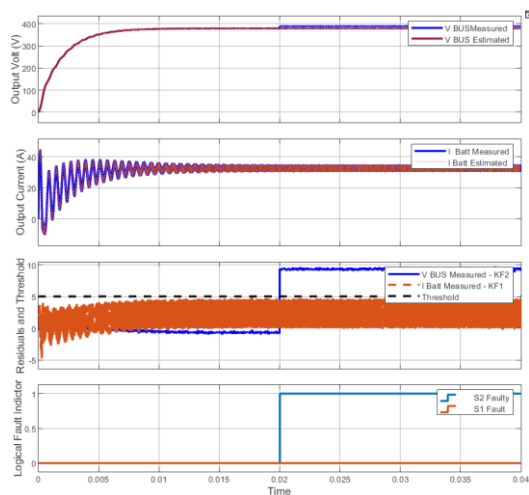
Results

Using bank of Kalman filters – Example 2 – Voltage Sensor Fault



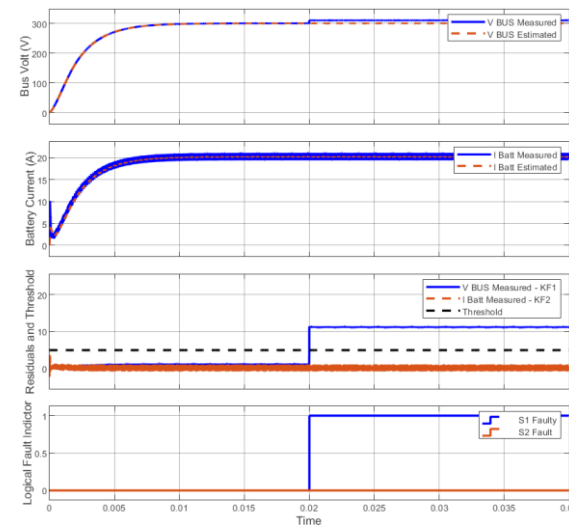
Open-loop

1



Close-loop

2



Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

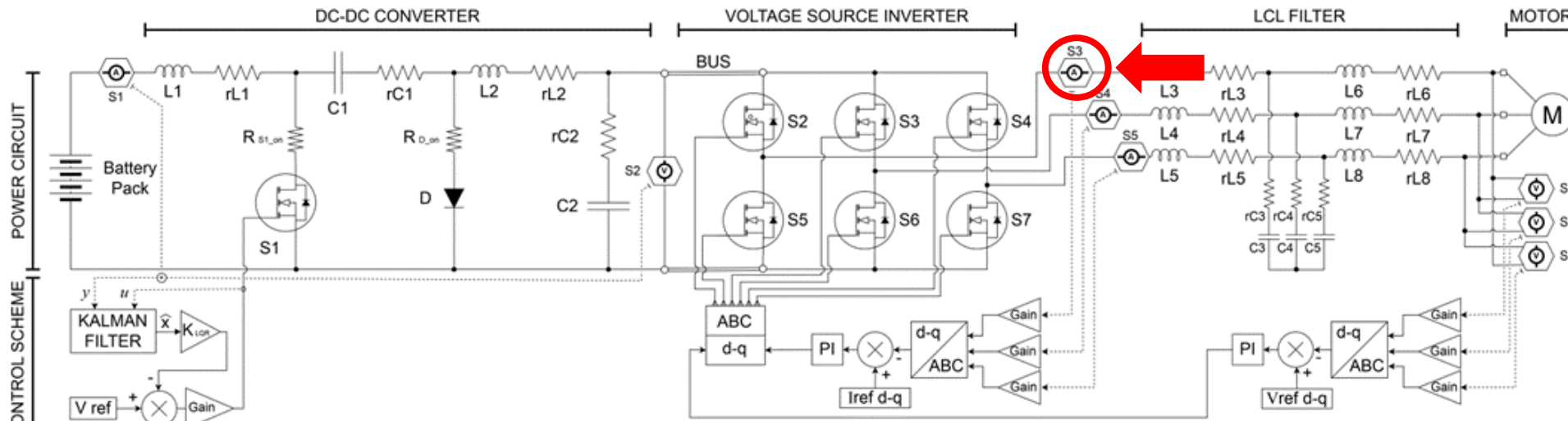
Kalman Filter Bank

Results

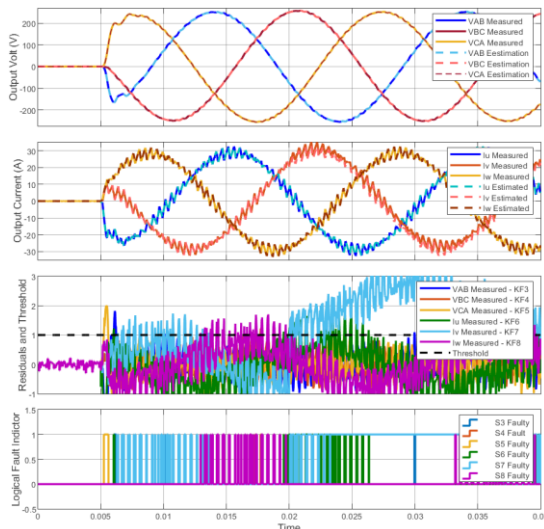
Conclusion & Perspective

Results

Using bank of Kalman filters – Example 3 – Current Sensor Fault

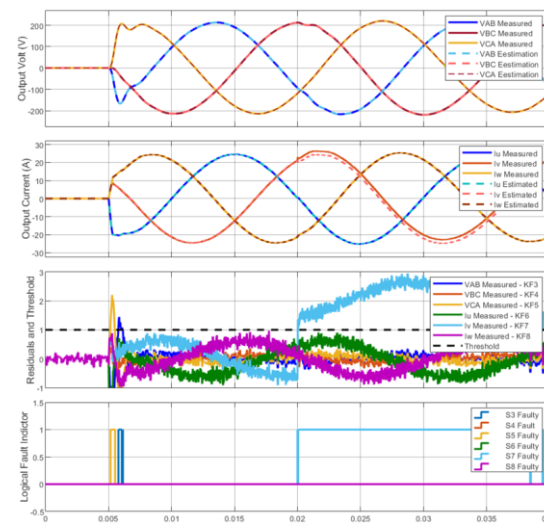


Open-loop



1

Close-loop



2

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

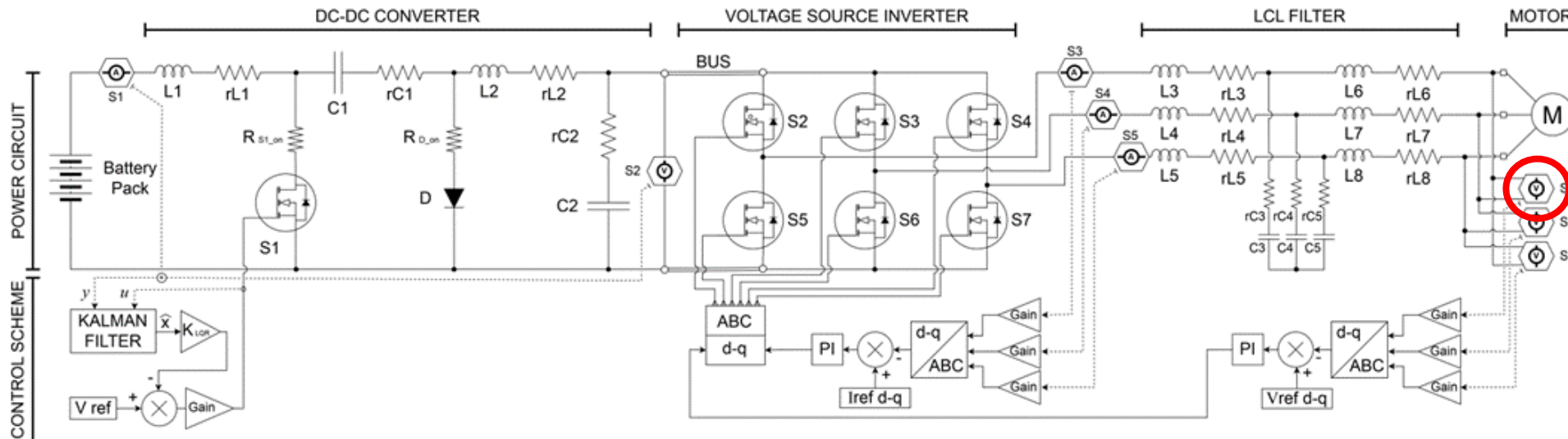
Kalman Filter Bank

Results

Conclusion & Perspective

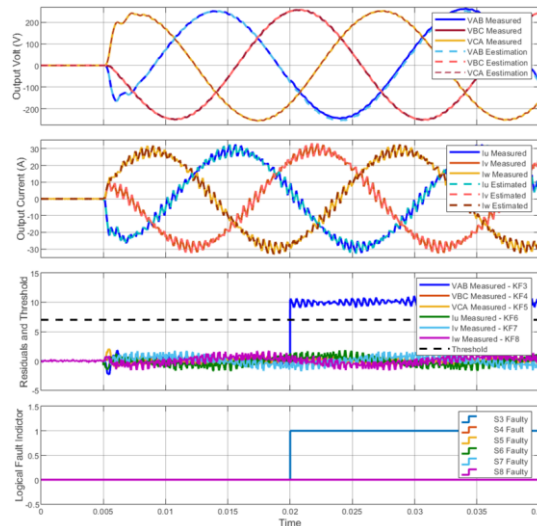
Results

Using bank of Kalman filters – Example 4 – Voltage Sensor Fault



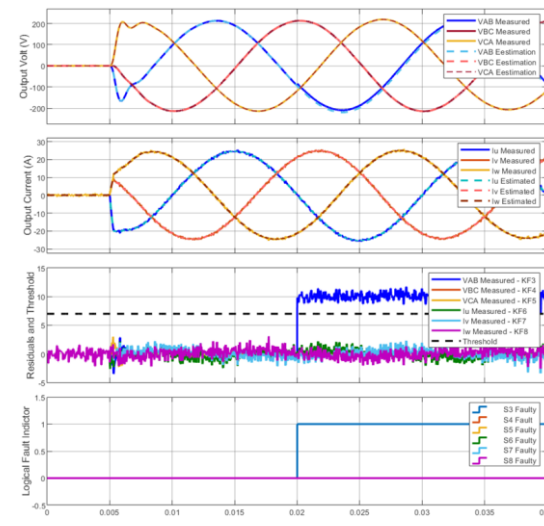
Open-loop

1



Close-loop

2



Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

Kalman Filter Bank

Results

Conclusion & Perspective

Conclusions & Perspectives

- ✓ The technique can sense the faulty sensor, even in a closed control loop configuration using Kalman filter estimation and residual generation with the ability of combining eight different fault residuals and banks to be analyzed by the FDD approach.
- ✓ Propagation of fault makes sensor fault detection in close-loop achievable and increase the residual duration than a regular open-loop system
- ✓ Diagnosis of 8 different single sensor faults in VSI and filter
- ▶▶ Current work is diagnosing system uncertainties of component faults

Introduction

Conversion Chain

EV Failures

Objective

Literature Review

DC-DC Converters

Inverters

FDD Approaches

Methodology

Converter Model

Inverter Model

Filter Model

Current Work & Results

DC-DC Converter

Kalman Filter Bank

Results

Conclusion & Perspective

Merci de votre attention