Synergy of canonical control and unfalsified control concept to achieve fault tolerance

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Nancy-Université

# Outline



- 2 Fault tolerant control problem
- FTC in behavioral context



# Motivations and Objective

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- Model based FTC requires precise knowledge of plant parameters during FDI operation
  - Information about plant is not known
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#### Objective

To develop generic methods of FTC based upon real trajectories from the system subjected to fault rather than through models resulting from a priori assumptions

# Fault tolerant control problem

#### Standard control problem

Solve the problem  $\langle \mathfrak{O}, \mathfrak{C}(\theta), \mathfrak{U} \rangle$ .

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   satisfy over time
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#### Impact of fault on control problem

Occurrence of fault transform the constraints  $\mathfrak{C}(\theta)$  from  $\mathfrak{C}_n(\theta_n)$  into  $\mathfrak{C}_f(\theta_f)$ ,  $f \in \mathcal{F}$ , where  $\mathcal{F}$  indexes the set of all considered faults.

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#### Model based FTC

Constraints  $\mathfrak{C}_f(\theta)_f$  are estimated first in "fault detection and isolation" module and then new control law is applied in fault accommodation phase.

# Logic based switching control

#### Controller reconfiguration

- Construct bank of controllers, each one being associated to a healthy or a faulty plant working mode.
- Selection of controller for present working mode assumes to be achieved with some delay.



# Logic based switching control

#### Features

- theory of switching control relies on a bank of controllers
- supervisor is made of a set of estimators that gives the information about the fault
- each estimator reconstructs the plant output in either one of the healthy or faulty working modes



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- partial or complete knowledge of plant model must be known
- stability issues concerned with multiple switching
- the presence of good controller in the controller bank is assumed

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#### Direction

Behavioral System theoretical approach

## Proposition



# Features of behavioral theoretical approach

- Does not take input-output structure as the starting point
- Mathematical model viewed as any dynamical relation among variables
  - manifest variables or to-be controlled variables
  - latent variables or control variables
- Dynamical relation constraints the time evolution
- Collection of time trajectories defines the *behavior* of dynamical system

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#### Definition

Dynamical system  $\Sigma$  is represented by a triple  $\Sigma = (\mathbb{T}, \mathbb{W}, \mathfrak{B})$ where  $\mathbb{T} \subseteq \mathbb{R}$ , called the time axis,  $\mathbb{W} \subseteq \mathbb{R}^{\mathbb{W}}$  called the signal space and  $\mathfrak{B} \subseteq \mathbb{W}^{\mathbb{T}}$  called the behavior. A trajectory is a function

$$\mathbf{w}:\mathbb{T}\to\mathbb{W},\ t\mapsto\mathbf{w}(t)$$

## Control in Behavioral Context

Control problem is viewed as an interconnection of two dynamical subsystems with behavior (Plant: *P*, Controller: *C*) such that it gives the controlled behavior, *K*

$$\mathcal{K} = (\mathcal{P} \wedge_{c} \mathcal{C})_{\tt w} \subseteq (\mathcal{P})_{\tt w}$$



- w : to-be controlled variables;
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# Unfalsified control concept

#### Definition

A controller  $K \in \mathfrak{U}$  is said to be *falsified* by measurement information if this information is sufficient to deduce that the performance specification  $(r,y,u) \in \mathfrak{O} \ \forall \ r \in \mathcal{R}$  would be violated if that controller were in the feedback loop. Otherwise the control law K is said to be *unfalsified* 

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#### Problem

Given

- (a) a measurement information set  $P_{data} \subset \mathcal{R} \times \mathcal{Y} \times \mathcal{U}$ ;
- (b) a performance specification set  $\mathfrak{O} \subset \mathcal{R} \times \mathcal{Y} \times \mathcal{U}$ ;
- (c) a class  $\mathfrak U$  of admissible control laws;

determine the subset  $\mathfrak{U}_{\mathcal{O}\mathcal{K}}$  of control laws  $\mathcal{K}\in\mathfrak{U}$  whose ability to meet the specification  $(r,y,u)\in\mathfrak{O}~\forall~r\in\mathcal{R}$  is not falsified by  $P_{\mathtt{data}}$ 

## FTC in behavioral context

Solve the problem  $< \mathfrak{O}, \mathfrak{C}(\theta), \mathfrak{U} > .$ 

For any fault,  $f_i \in \mathcal{F}, i = 1, ..., n$ , construct a set  $K \in \mathfrak{U}$  corresponding to each faulty mode instead of determining  $\mathfrak{C}(\theta)$ , each time fault occur.





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# FTC in Behavioral Context

#### Features

- Not all the controllers need to be put into the closed loop to see which controller satisfies the performance specifications.
- Ofcourse, the scheme is completely model free. Only the presence of right controller in the controller bank that can achieve the desired performance is assumed.
- Shortcomings seen in model based FTC is lowered down.
- In this model free FTC, a set of pre-determined control laws for each (healthy or faulty) mode is equipped instead of estimating the constraints as seen in model based FTC.

## Further issues

#### To be resolved

What will happen if the right controller is not present in the controller bank  $? \end{tabular}$ 

 $\mathbb{T}\times\mathbb{W}$ 



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#### FTC problem $\langle \mathfrak{O}, \mathfrak{C}(\theta), \mathfrak{U} \rangle$ .

Canonical Control in Behavioral framework: is a controller which is generated by the interconnection of plant and desired behavior. The only condition is that the desired behavior must be achievable.

- $\bullet~\mathfrak{O}:$  the desired behavior ,  $\mathcal D$
- $\mathfrak{C}(\theta)$ : behavior of the controlled system satisfies over time
- $\mathfrak{U}$ : the controller behavior,  $\mathcal{C}$

Here we imposed an assumption on desired trajectory that the canonical controller designed achieves the desired behavior

## **Canonical Control**



$$\mathcal{P} = \{(w,c) \in \mathbb{R}^{q+p} \mid R(\xi)w = M(\xi)c\}$$

to-be-controlled variables, w := (r, y); control variables , c := (u, e)

$$R = \begin{pmatrix} 1 & -1 \\ 0 & R_y \end{pmatrix}, M = \begin{pmatrix} 1 & 0 \\ 0 & R_u \end{pmatrix}, G = R_y^{-1} R_u$$

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$$(\mathcal{P})_w = \{ w \in \mathbb{R}^q \mid \exists c \in \mathcal{C} \text{ such that } (w, c) \in \mathcal{P} \}$$

$$C = \{ c \in \mathbb{R}^p \mid H(\xi)c = 0 \}, C = C_e^{-1}C_u$$
  
$$C_e, C_u, R_v, R_u \text{ are the coprime polynomial factorization}$$

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 $\begin{aligned} &\mathcal{C}_e, \, \mathcal{C}_u, \, \mathcal{R}_y, \, \mathcal{R}_u \text{ are the coprime polynomial factorization} \\ &\mathcal{D} = \{ w \in \mathbb{R}^q \mid \exists c \in \mathcal{C} \text{ such that } (w, c) \in \mathcal{P} \mid D(\xi)w = 0 \} \end{aligned}$ 

## **Canonical Control**

#### Theorem

Let  $\mathcal{P} \subset (\mathbb{R}^{q+p})^{\mathbb{T}}$  be a given plant system, and let  $\mathcal{C} \subset (\mathbb{R}^p)^{\mathbb{T}}$  be a controller to be designed. Let  $\mathcal{D} \subset (\mathbb{R}^q)^{\mathbb{T}}$  be a desired behavior. Then there exists  $\mathcal{C}$  such that  $\mathcal{P} \wedge_c \mathcal{C} = \mathcal{D}$  if  $\mathcal{D} \subset \mathcal{P}_w$ .

desired behavior is a restricted behavior in the manifest behavior,  $(\mathcal{P})_{\rm w},$  therefore

$$\Rightarrow \exists L(\xi) : \begin{array}{l} \mathsf{L}(\xi)R(\xi) = D(\xi) \\ \mathsf{L}(\xi)M(\xi)c(t) = 0 \end{array}$$

Above equation induces the kernel representation of a canonical controller acting only on the variable c

# **Canonical Control**

$$\begin{pmatrix} C_e & C_u \end{pmatrix} \begin{pmatrix} D_r & 0 \\ 0 & (D_r - D_y) \end{pmatrix} \begin{pmatrix} u \\ y \end{pmatrix} = 0$$

Desired behavior,  $T_d = D_y^{-1}D_r$ , Controller behavior,  $C = C_e^{-1}C_u$ (u, y): collected input-output trajectories

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for constructing the canonical controller, assign structure to polynomials

$$C_u( heta) = \sum_{i=0}^m heta_i \xi^i, \ C_e(
ho) = \sum_{i=1}^n 
ho_i \xi^i$$

with unknown parameters  $\theta$  and  $\rho$ . Consider a finite interval data of length N,

$$C_{e}(\rho)D_{r}u_{[k,k+N]} = C_{u}(\theta)(D_{r}-D_{y})y_{[k,k+N]}$$
$$\bar{u}_{[k,k+N-n_{a}]} := D_{r}u_{[k,k+N]}, \bar{y}_{[k,k+N-n_{b}]} := (D_{r}-D_{y})y_{[k,k+N]}$$

where  $n_a$  and  $n_b$  is the degree of  $D_r$  and  $D_r - D_y$ .

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where  $n_a$  and  $n_b$  is the degree of  $D_r$  and  $D_r - D_y$ . In matrix form

## Fault tolerant control scheme



#### Functioning

- Reconfiguration mechanism (RM) unfalsify the controllers which exists in the controller bank.
- Controller synthesis block synthesize a set of new controllers using canonical control concept for a set of considered desired trajectories.

## Features of the scheme

- For the N potential controllers in the bank, N performance indexes J(r<sup>fict</sup><sub>Ci</sub>, u, y) are computed and only those controllers are marked unfalsified which satisfies J(w<sub>i</sub>) ≤ γ.
- The control selection algorithm has input  $J(w_i)_{i=1}^N$  and output  $\sigma$ , the switching signal.

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- To avoid arbitrary small switching times, a lower bound on the length of interval between successive switches is imposed.
- This lower bound during which a controller is active in the loop is called dwell time and the measured data is collected in this time interval  $[t_n, t_n + \tau_D]$ .

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- This lower bound during which a controller is active in the loop is called dwell time and the measured data is collected in this time interval [t<sub>n</sub>, t<sub>n</sub> + τ<sub>D</sub>].
- The logic is then realized through

$$\sigma(t) = \sigma(t_n), \text{ for } t_n \leq t < t_{n+1}$$

with the updating rule

$$\sigma(t_{n+1}) = \begin{cases} \sigma(t_n), \text{ if } C_{\sigma(t_n)} \text{ is not invalidated} \\ \hat{i} = \arg \min\{J(w_i) \mid J(w_i) \le \gamma\}_{i \ne \sigma(t_n)}, \end{cases}$$

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## Features of the scheme

After the time interval [t, t + τ<sub>D</sub>] if J(w<sub>i</sub>)<sup>N</sup><sub>i=1</sub> > γ, a new set of controller is generated using

$$\begin{pmatrix} C_e & C_u \end{pmatrix} \begin{pmatrix} D_r & 0 \\ 0 & (D_r - D_y) \end{pmatrix} \begin{pmatrix} u_{\tau_D} \\ y_{\tau_D} \end{pmatrix} = 0$$

where  $(u_{\tau_D}, y_{\tau_D})$  are the plant trajectories collected in the time interval  $[t, t + \tau_D]$ .

- For N' desired trajectory, N' new controllers are generated and added into the controller bank.
- If one of N' desired trajectory achieves the desired behavior, then that particular controller get switched into the feedback loop by RM.

# Simulation

$$P = \text{ unknown plant }, C_1 = \frac{-s+1}{0.3s+1}, C_2 = \frac{-s-1}{0.3s+1}, C_3 = \frac{-1.049s - 1.176}{s+2.978}$$

Desired trajectory :  $D_y(\xi) = \xi^2 + 1.6\xi + 1, D_r(\xi) = -\xi + 1, J_{C_i} = \int_t^{t+\tau_D} (r_{C_i} - y)^2 dt$ 



 $\begin{array}{l} \Longrightarrow \gamma = 31, \tau_D = 10 sec \\ \Longrightarrow J(w_i) \leq \gamma = 31 \\ \Longrightarrow C_2 \text{ is in the feedback loop. Fault occurs at t=0sec.} \\ \Longrightarrow \text{ Initially two controllers } C_1 \text{ and } C_2 \\ \text{are installed in the bank.} \\ \Longrightarrow \text{ At t=10sec, } J(r_{C_1,u,y})_{i=1}^2 > \gamma. \\ \text{Therefore, a new controller is designed from the collected trajectories.} \\ \end{array}$ 

 $\implies$  The new controller C<sub>3</sub> is now installed in the bank and tested for its feasibility.

 $\Longrightarrow$  RM block unfalsify the new controller during the next dwell time.

# Conclusions

- Fault tolerant control problem is studied in the behavioral theoretic framework.
- Real time model free reconfiguration mechanism is suggested to achieve fault tolerance.
- The limitation for the presence of right controller in a pre-determined controller bank is released.
- Future work includes the consideration of different types of fault for the MIMO system.

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#### Publications

- A model based 2-DOF fault tolerant control strategy, 18th IEEE Mediterranean Conf. Control and Automation, June 2010.
- A real time router fault accommodation, IEEE SysTol'10, Oct.2010
- Synergy of canonical control and unfalsified control concept to achieve fault tolerance, IFAC World Congress, 2011 (submitted)

# Thank you for your attention!!